

# Crystal Growth: Physics, Technology and Modeling

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## Lecture 4. Liquid phase epitaxy and lateral overgrowth

10 March 2025

<http://www.unipress.waw.pl/~stach/cg-2024-25>

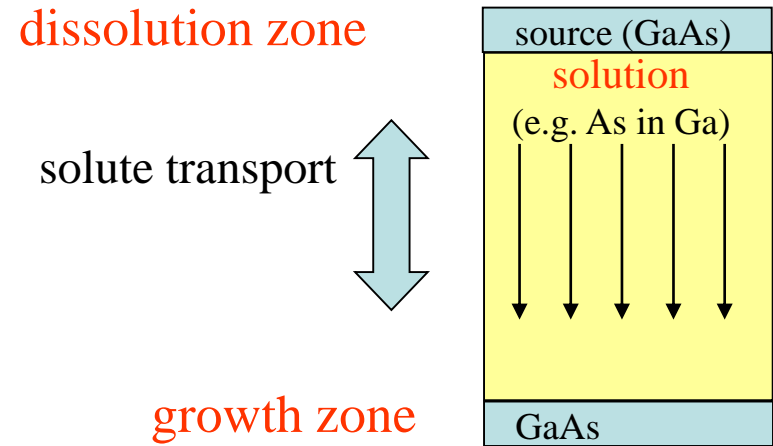
# Liquid phase epitaxy and lateral overgrowth

## Outline:

- **definition + idea of LPE**
- **history and technical aspects**
- **solute transport during LPE growth; diffusion, convection**
- **Liquid Phase Electroepitaxy**
  
- **Epitaxial Lateral Overgrowth**
- **principle and growth control**
- **filtration of dislocations in ELO**
- **strain in ELO structures**

# Liquid Phase Epitaxy - LPE

technique of epitaxial thin films growth *from metallic solution*



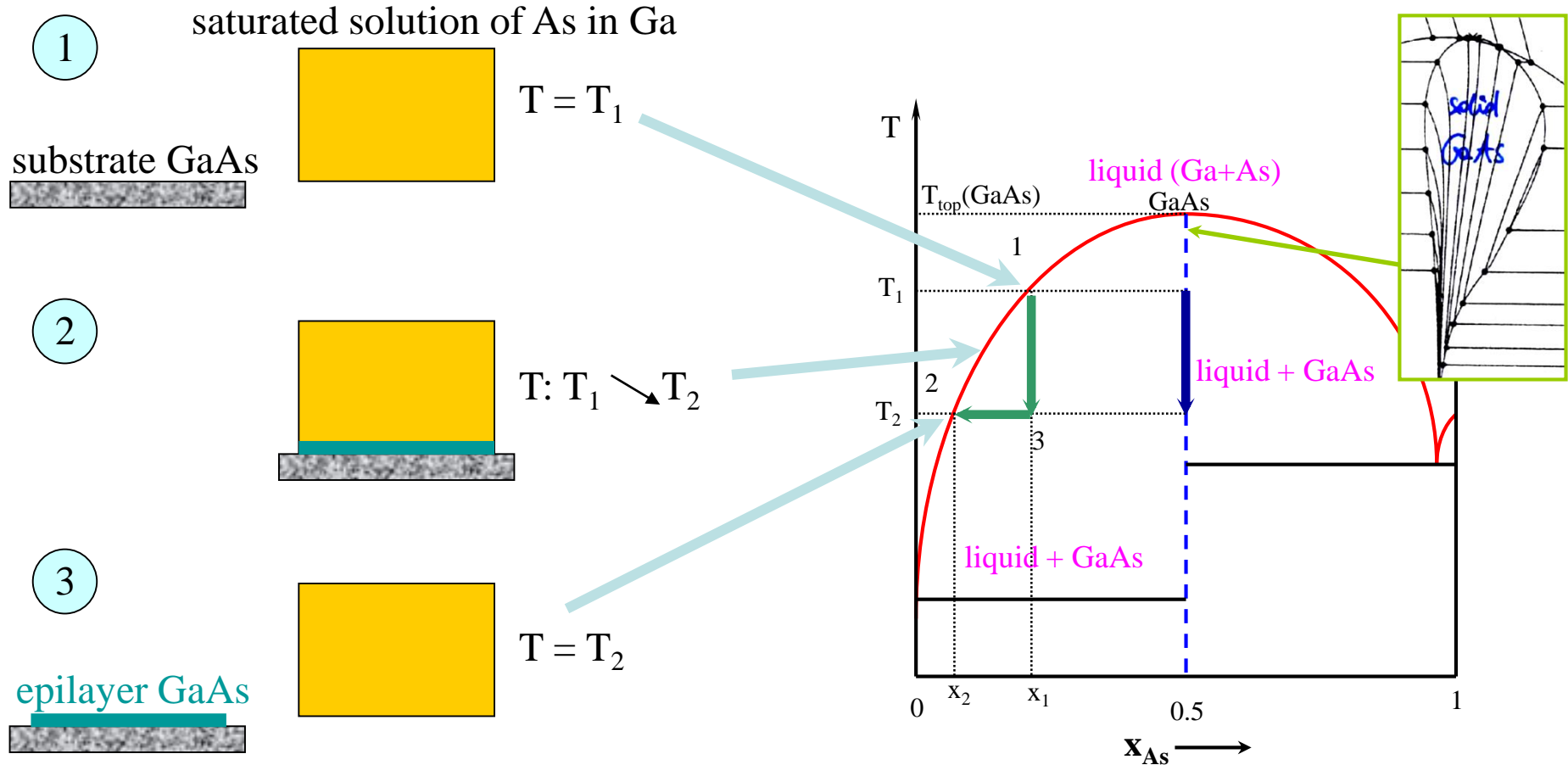
## advantages of solution growth & epitaxy

### properties of solvent required:

- crystal component (e.g. Ga for GaAs)  
or low solubility in the crystal (Bi, Sn, In, Pb, etc.)
- low melting point
- high solubility of solute @  $T_{\text{epi}}$
- low vapor pressure @  $T_{\text{epi}}$
- high chemical stability
- high purity
- low price ???

# Idea of LPE (example: homoepitaxy of GaAs on GaAs substrate)

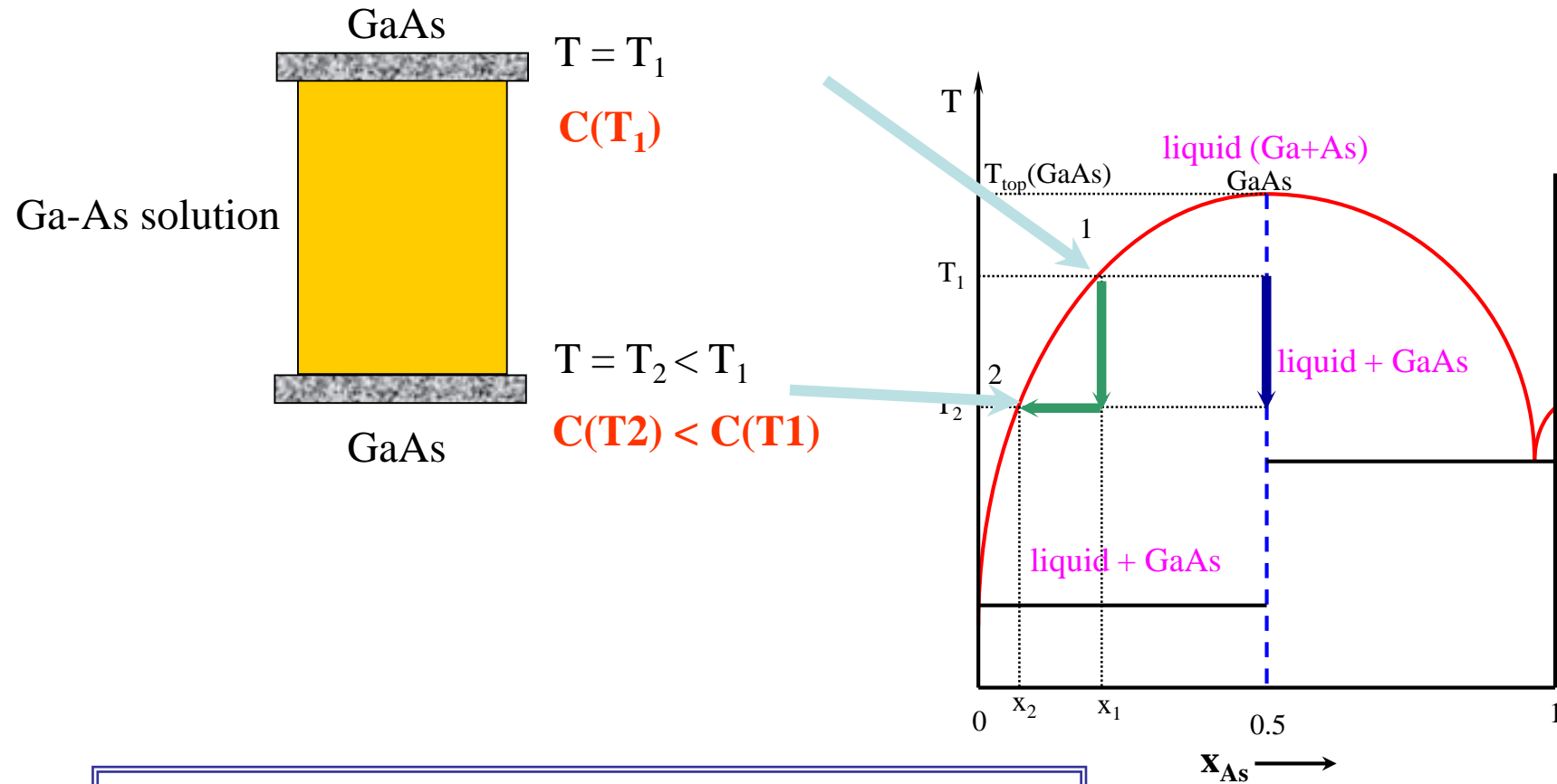
the Gibbs phase rule:  $f(\text{degrees of freedom}) = C(\text{components}) - p(\text{phases}) + 2(p; T)$   
 $\text{Ga-As} \leftrightarrow \text{GaAs}$        $2$        $2$        $p = \text{const.} \Rightarrow f = 1 (T)$



**LPE – equilibrium growth method !!!**

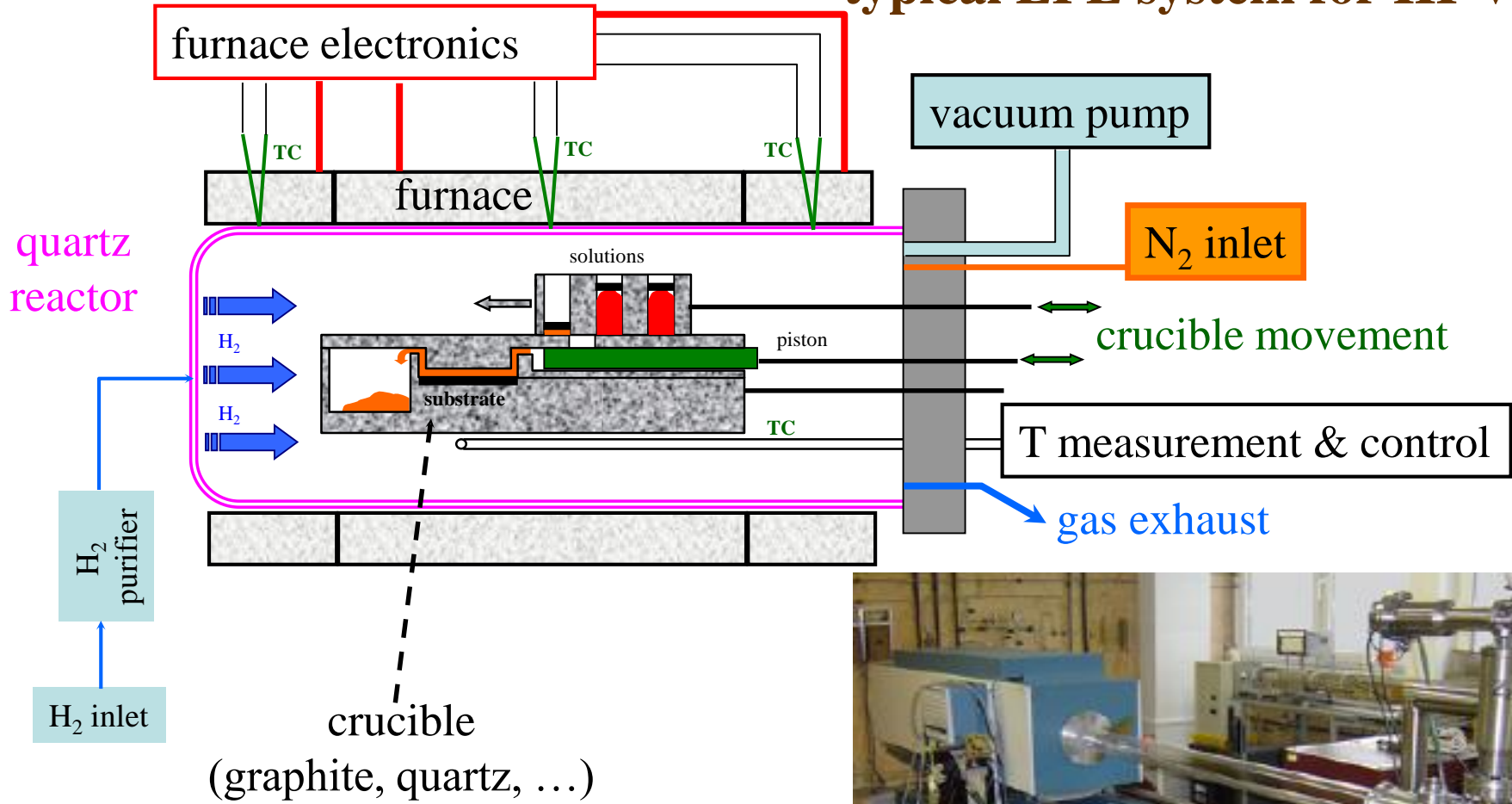
# Idea of LPE (example: homoepitaxy of GaAs on GaAs substrate)

## growth in T gradient



**LPE – equilibrium growth method !!!**

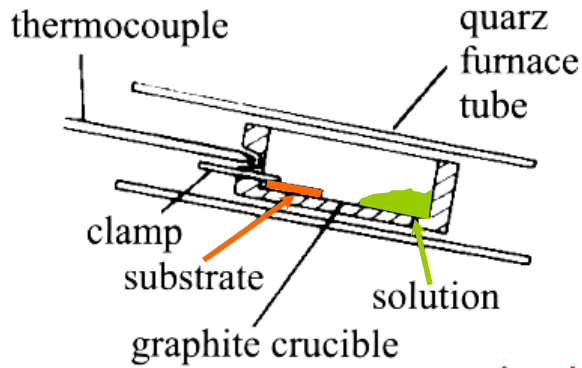
# typical LPE system for III-V's



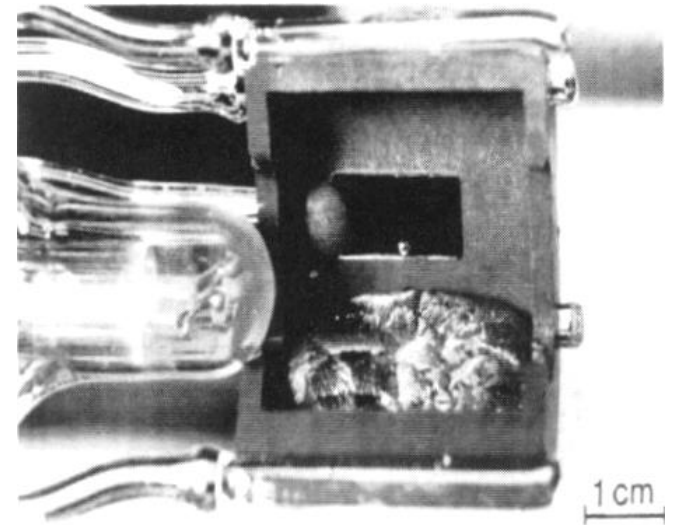
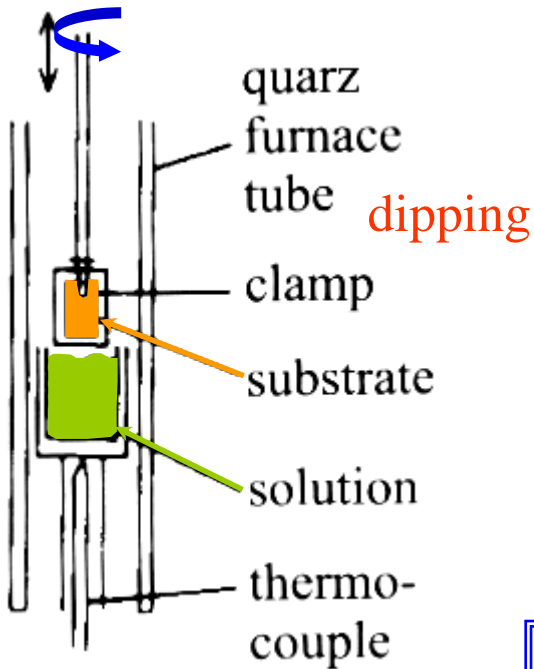
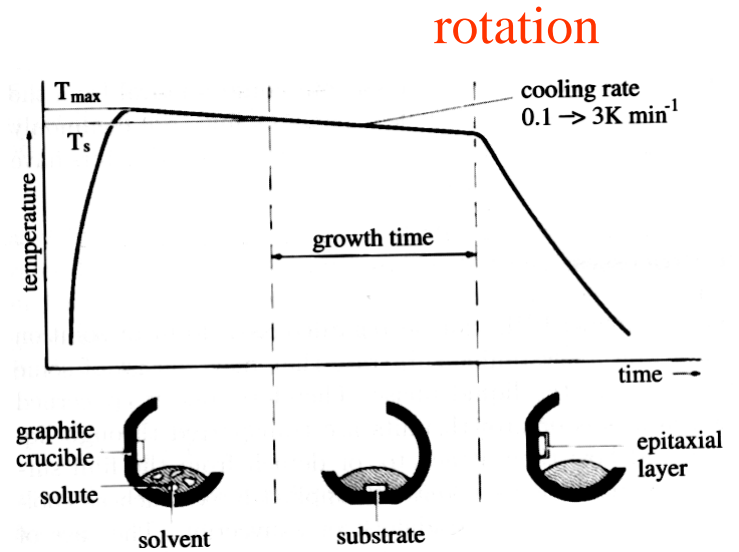
horizontal system  
ITE Warszawa



# Crucibles in LPE

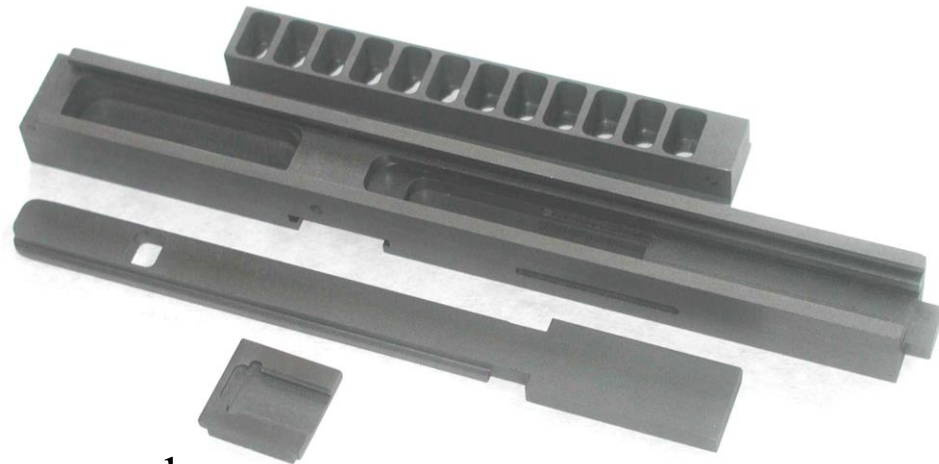
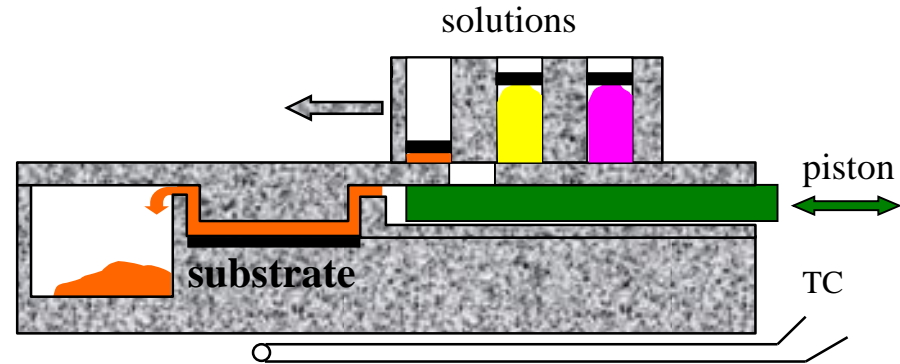
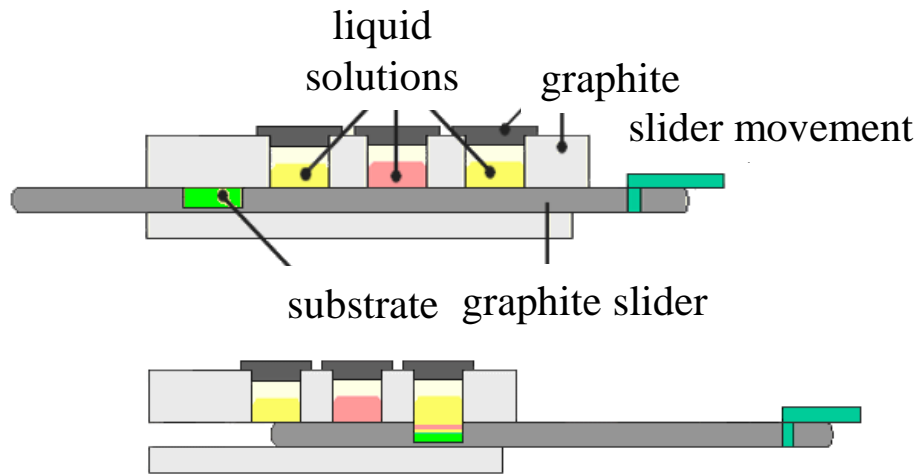


tipping



growth of single layers

# Crucibles in LPE cont.



## advantages:

- growth of multilayer structures
- thin layer of the solution
- „skin” of oxides on the solution surface removed

## disadvantages:

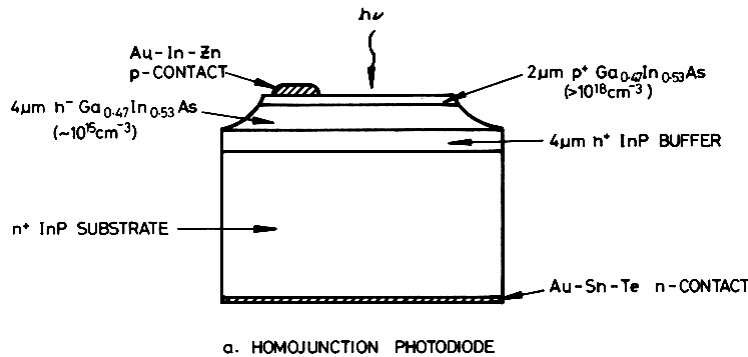
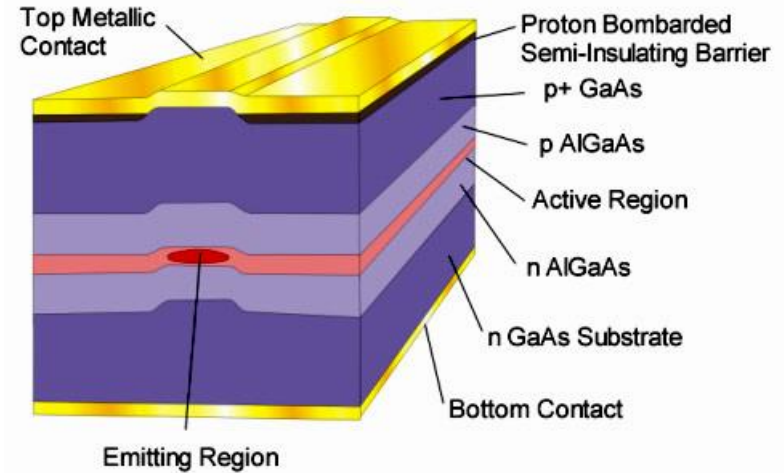
- blurred (not sharp) interfaces



# History

H. Nelson: *Epitaxial growth from the liquid state and its application to the fabrication of tunnel and laser diodes*  
 RCA Rev. 24 (1963) 603.

Nobel 2000 - H. Kroemer, J. Kilby, Z. Alfierow  
 “for developing semiconductor heterostructures used in high-speed- and opto-electronics”



## Why LPE:

- „cheap and easy”
- high purity of layers (impurity segregation)
- selective area growth easy
- broad range of compounds can be grown (As, P, ...)
- „safe” method (as compared to MOVPE)

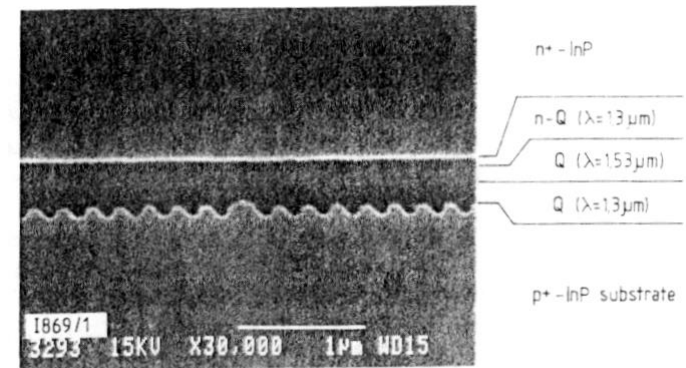
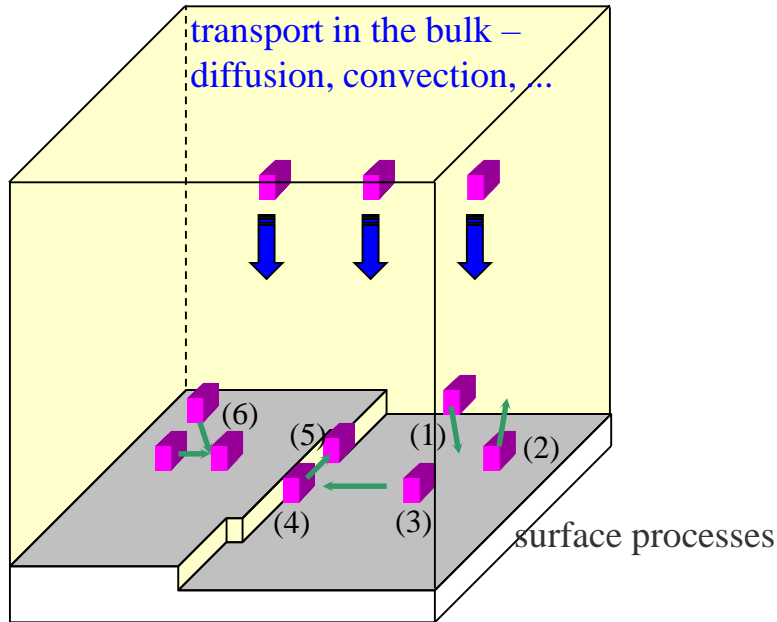


Fig. 14. InGaAsP DFB laser structure grown nearly dissolution-free over a first order grating, after [70]. For details, see text

# Growth kinetics



transport of solute in the bulk of solution



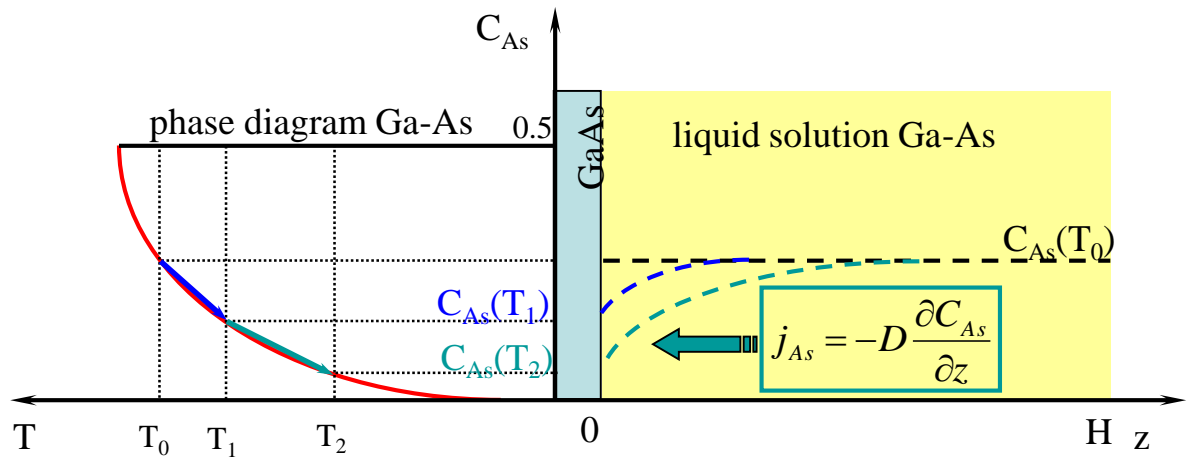
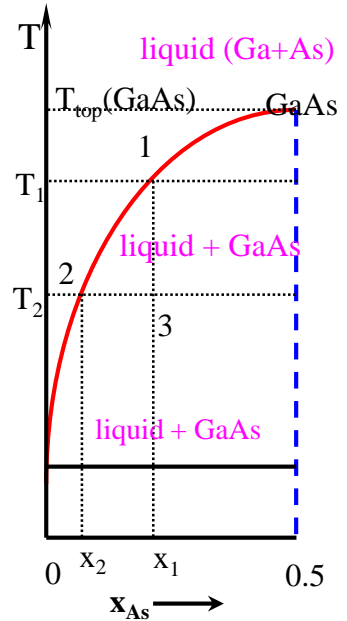
surface processes

the slower one determines the growth rate

*usually*

the growth temperature in LPE is so high (surface processes so fast), while the bulk solute transport is slow, that solute transport in the bulk of the solution determines the growth rate

# LPE: diffusion controlled growth – example: GaAs growth from Ga-As solution



assumptions:

- fast surface kinetics
- no convective mixing
- low growth rate  $V_{gr}$
- fast heat transport
- no diffusion in solid state

**transport:**

~~$$\frac{\partial C}{\partial t} = D \frac{\partial^2 C}{\partial z^2} + V_{gr} \frac{\partial C}{\partial z}$$~~

**mass**

**mass flux continuity condition**

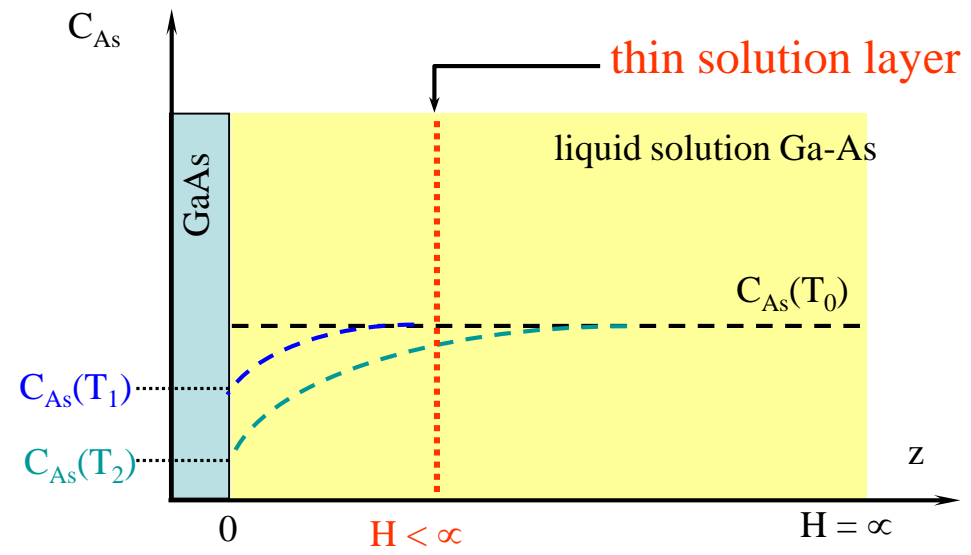
~~$$V_{gr} (C_{s,z=0} - C_{l,z=0}) = D_l \frac{\partial C_l}{\partial z} \Big|_{(z=0)} - D_s \frac{\partial C_s}{\partial z} \Big|_{(z=0)}$$~~

**heat**

~~$$\frac{\partial T}{\partial t} = k \frac{\partial^2 T}{\partial z^2} + V_{gr} \frac{\partial T}{\partial z}$$~~

**+ initial and boundary conditions  
(which depend on LPE version, e.g. T(t))**

# LPE: diffusion controlled growth – example: GaAs growth from Ga-As solution



equations

$$\frac{\partial C}{\partial t} = D \frac{\partial^2 C}{\partial z^2}$$

$$V_{gr} (C_{s,z=0} - C_{l,z=0}) = D_l \frac{\partial C_l}{\partial z} \Big|_{(z=0)}$$

infinite solution

$$H = \infty \iff H \gg \sqrt{D_l t}$$

Ga - As:  $T = 800^\circ C$   $D_l \approx 4 \cdot 10^{-5} \text{ cm}^2/\text{s}$   
 $t = 30 \text{ min}$   $\sqrt{D_l t} = 2.6 \text{ mm}$

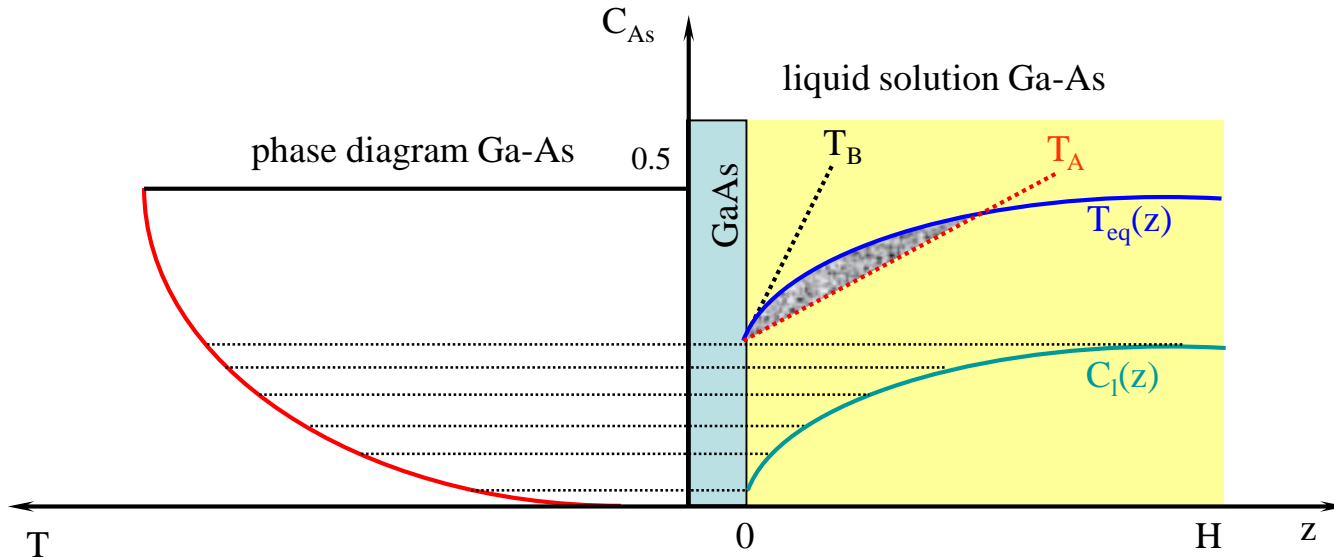
initial and boundary conditions

$$C_l(z = 0, t) = C_{eq}(T(t))$$

$$\frac{\partial C_l}{\partial z} (z \rightarrow \infty, t) = 0$$

equilibrium at the solid/liquid interface LPE version

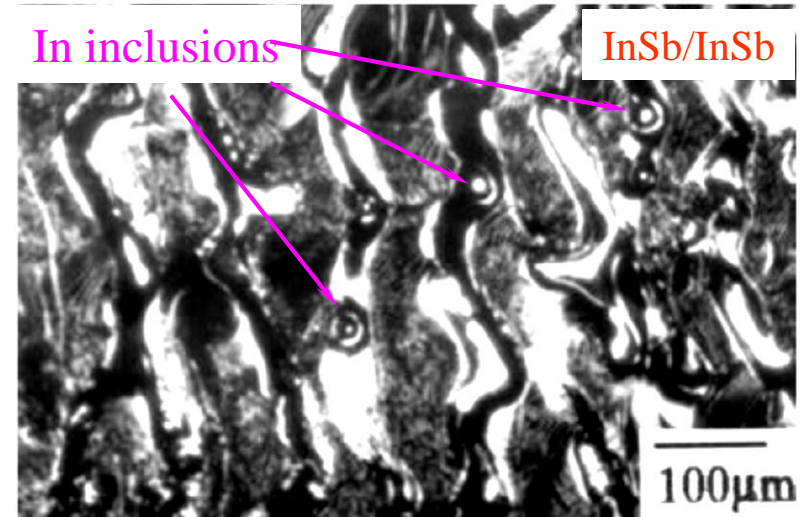
# LPE: constitutional supersaturation



Udayashankar et al., *Bull. Mater. Sci* 26 (2003) 685

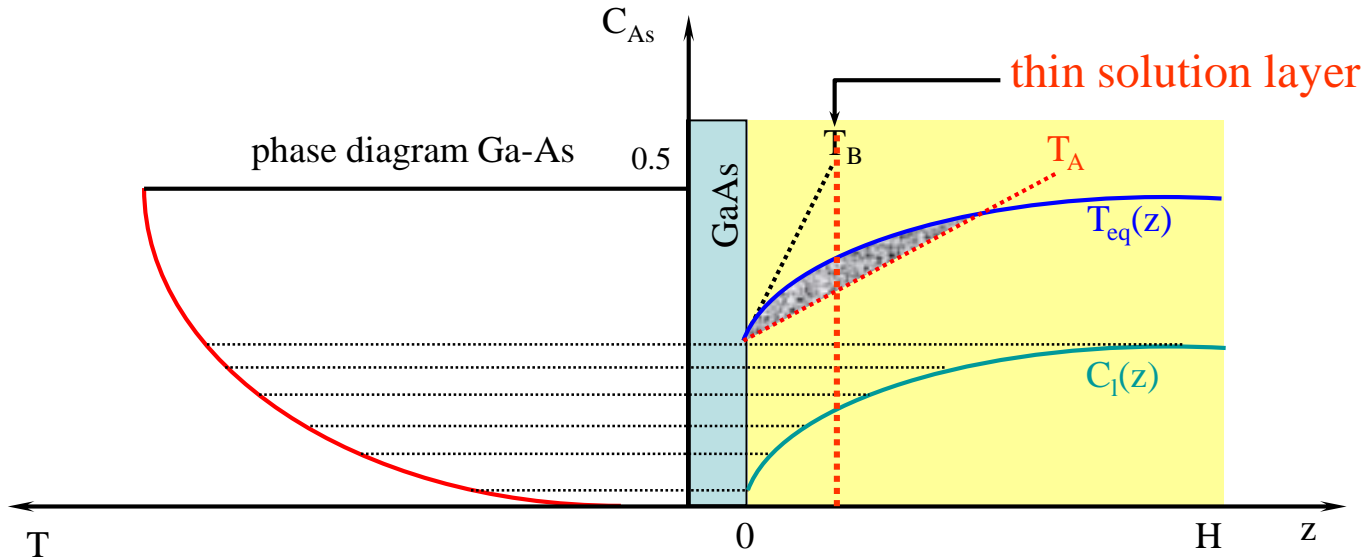
theory:

increase grad T at the interface  
( $T_B$  instead of  $T_A$ )



**Figure 3.** Film showing various surface features like ridges, valleys, inclusions, etc.

# LPE: constitutional supersaturation



Udayashankar et al., *Bull. Mater. Sci* 26 (2003) 685

## theory:

increase grad T at the interface  
( $T_B$  instead of  $T_A$ )

## practice:

- decrease concentration gradient
- thinner solution layer
- lower the growth rate

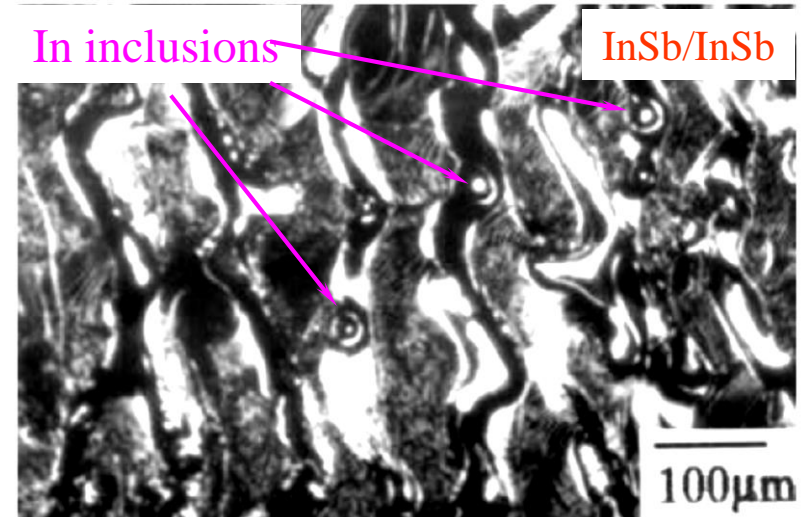


Figure 3. Film showing various surface features like ridges, valleys, inclusions, etc.

# LPE: natural convection

## natural convection

$$\rho = \rho(T, C) + \text{gravity}$$

convection **thermal** solutal

$$\frac{\partial \rho}{\partial T} < 0$$

$$\frac{\partial \rho}{\partial C} ???$$

assumptions:

- no external mixing
- vertical substrate
- $T(x, y, z) = \text{const.}$

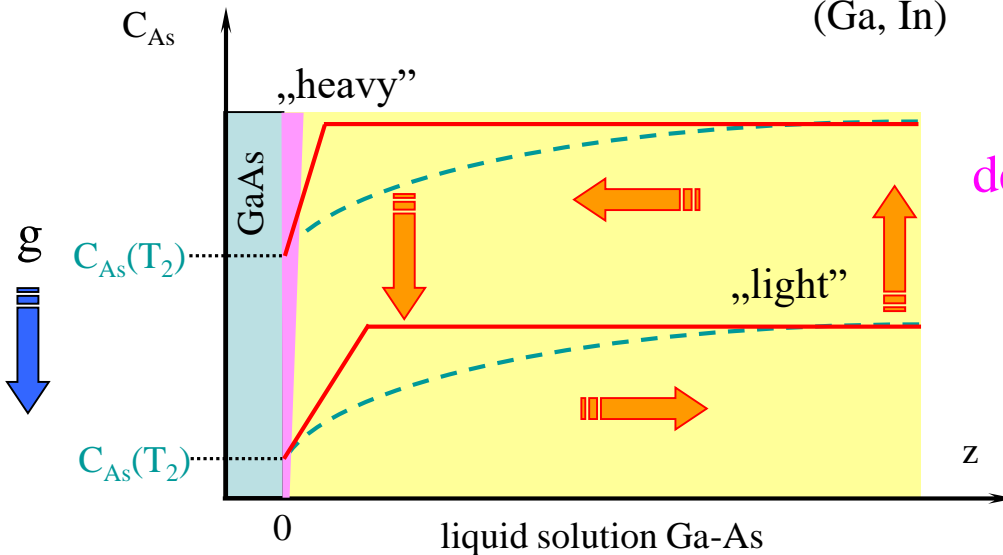
*solutal convection only*

typical III-V solutions

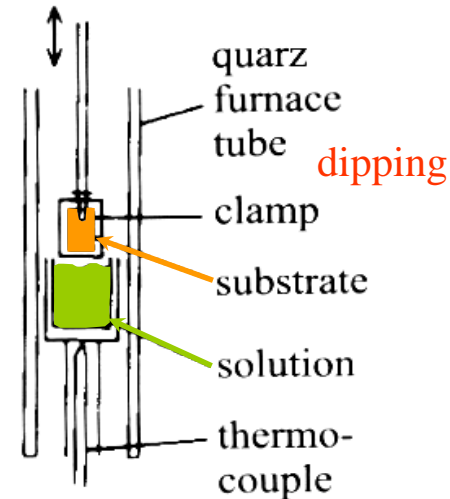
$$\rho_{\text{solvent}} > \rho_{\text{solute}}$$

(Ga, In) (As, P)

$$\frac{\partial \rho}{\partial C} < 0$$

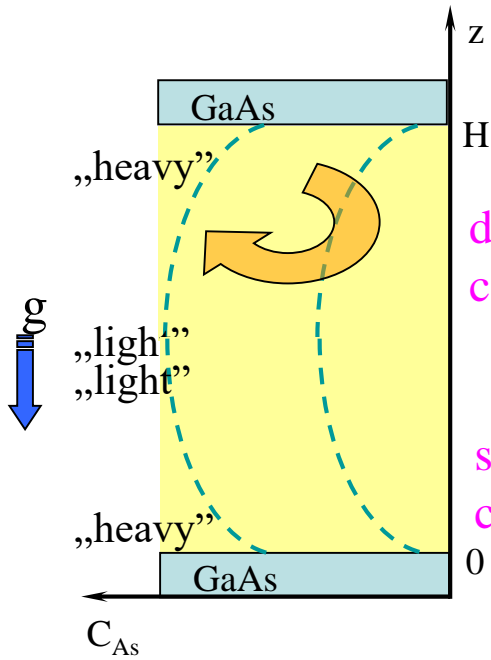


destabilizing solute distribution (As)  
epilayer thickness gradient



# LPE: natural convection cont.

S. Krukowski's lecture



destabilizing solute (As)  
concentration distribution

stabilizing solute (As)  
concentration distribution

if the Rayleigh's number is low  $Ra < 1000$

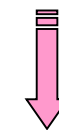


diffusion dominates

usually both  $gradT$  and  $gradC$  exist

$$Ra_C = g \cdot \Delta C \cdot \beta \cdot H^3 / D\nu < 1000$$

$$Ra_T = g \cdot \Delta T \cdot \alpha \cdot H^3 / \kappa\nu < 1000$$



- $\kappa \gg D$  – small  $\Delta C$  leads to convective flow
- solutal convection  $\gg$  thermal convection
- $Ra \sim H^3$  - solution layer thickness !!!

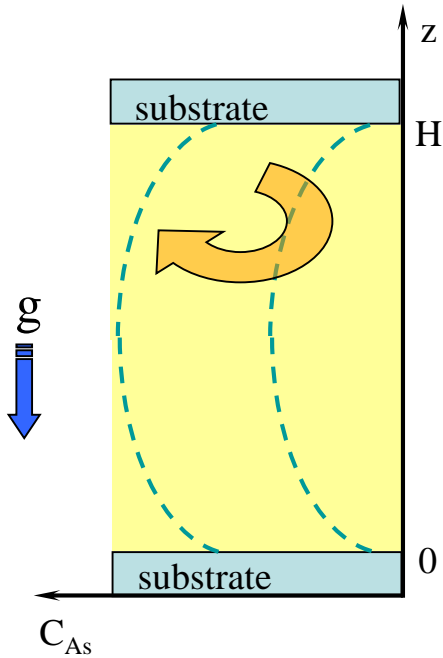
Tiller JCG 2 (1968) 69: no thermal convection if  $H < 5$  mm  
no solutal convection if  $H < 2$  mm



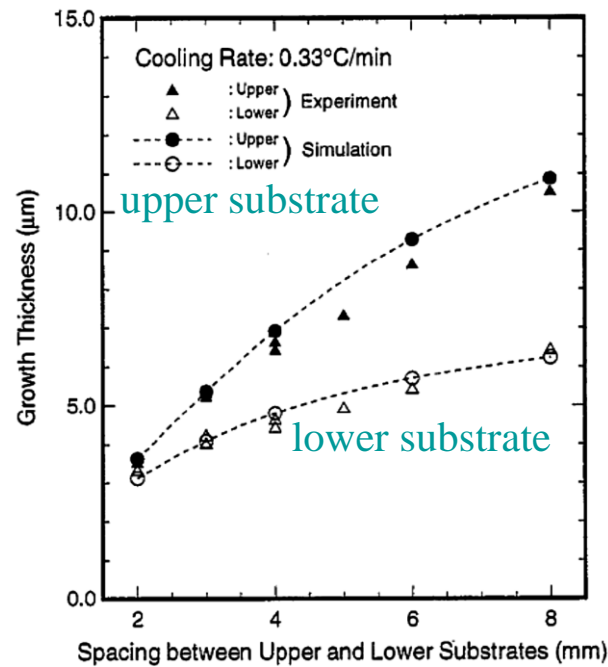
LPE from thin solution layer !!!



# LPE: natural convection cont.



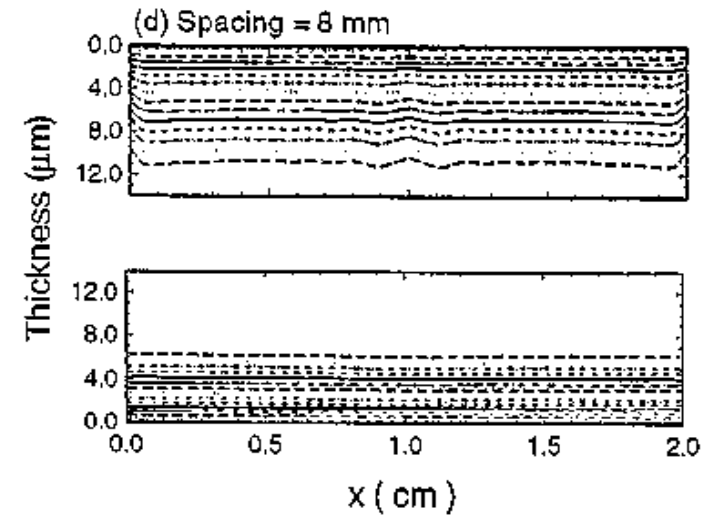
Kimura et al. JCG 167 (1996) 516



for thin solutions growth on both substrates similar

## LPE of Si from Sn solution

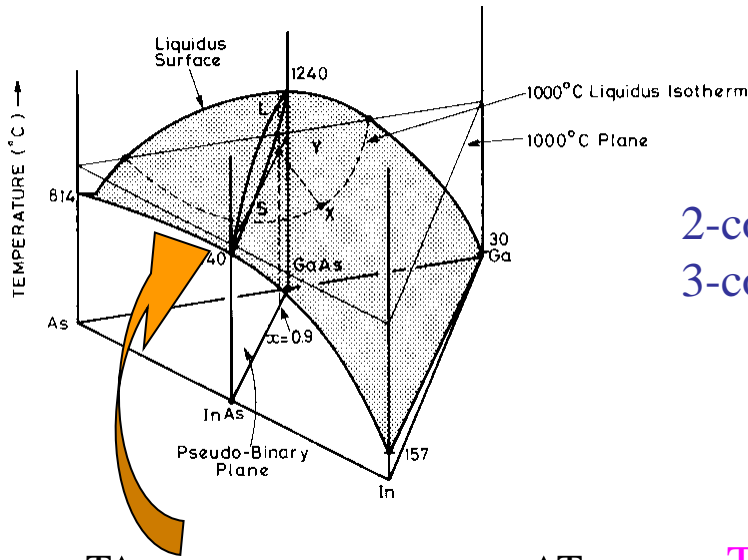
simulation + exp.



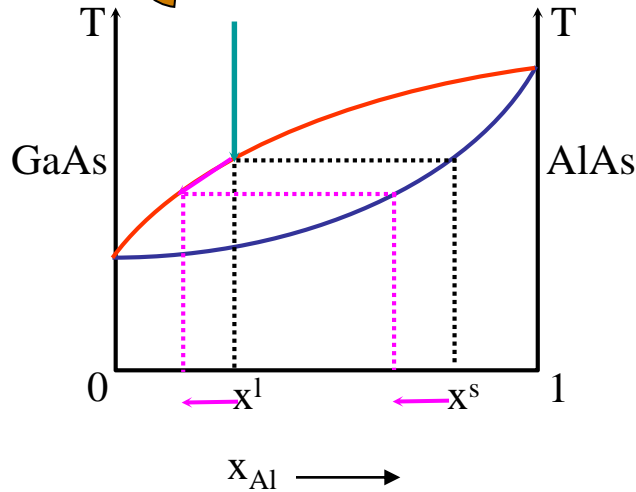
# LPE of multicomponent systems (example: GaAlAs on GaAs)

the Gibbs phase rule:  $f(\text{degrees of freedom}) = C(\text{components}) - p(\text{phases}) + 2(p; T)$

e.g. Ga-Al-As  $\Leftrightarrow$   $\text{Ga}_{1-x}\text{Al}_x\text{As}$     3            2             $p = \text{const.} \Rightarrow f = 2 (T, x)$

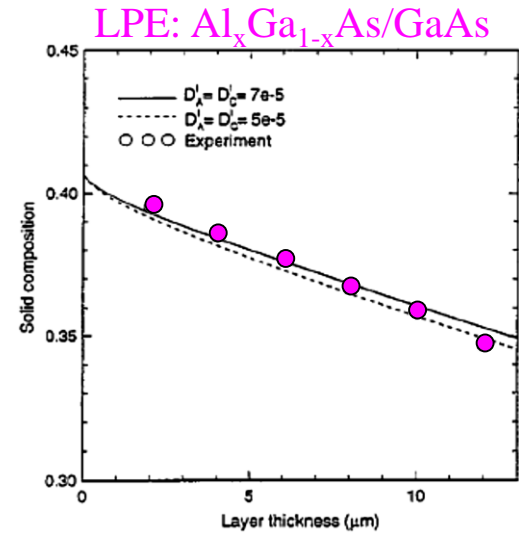


2-component system: composition of epilayer fixed  
 3-component system: composition of epilayer (x) - variable



$T \downarrow - \text{grad } x^s$

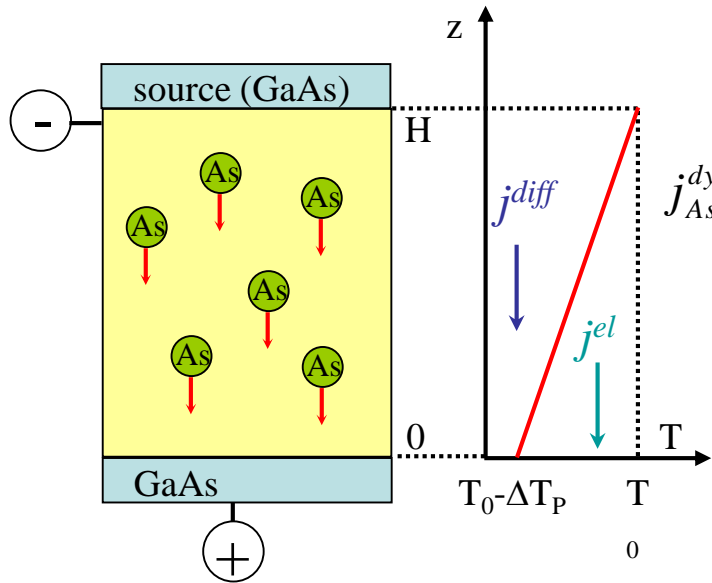
$T = \text{const.}$   
 $\downarrow$   
 $x^s = \text{const.}$



LPE growth of compositionally uniform AlGaAs layers challenging

# Liquid phase electroepitaxy (LPEE)

$T_0 = \text{const.}$  + DC current flow through the solid/liquid interface



**Peltier effect**

$$j_{As}^{dyf} = D \cdot \frac{C(T_0) - C(T_0 - \Delta T_P)}{H}$$

$$= D \cdot \frac{dC}{dT} \cdot \frac{\Delta T_P}{H}$$

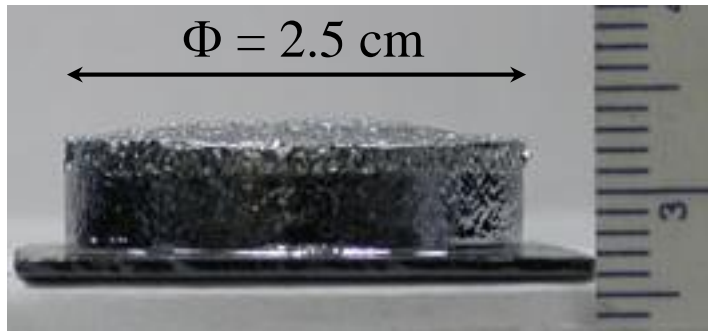
**electrotransport**

„electron wind” effect

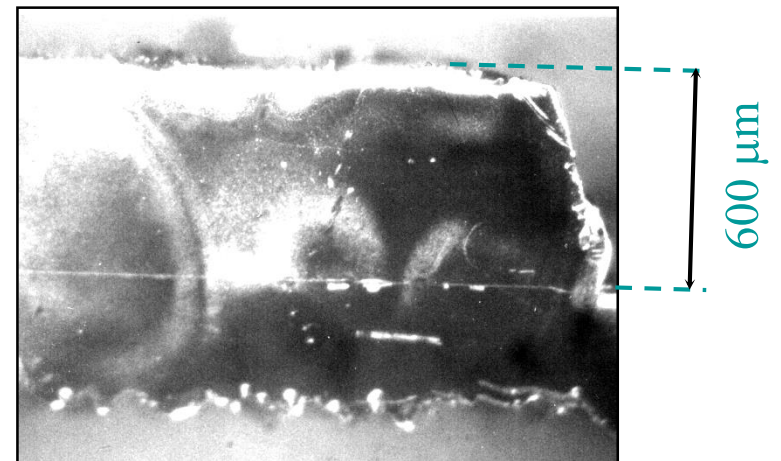
$$j_{As}^{el} = \mu \cdot E \cdot C(T_0)$$

$$= \mu \cdot \sigma \cdot j_e \cdot C(T_0)$$

$V_{gr} \propto \text{electric current density}$



LPEE InGaAs/GaAs

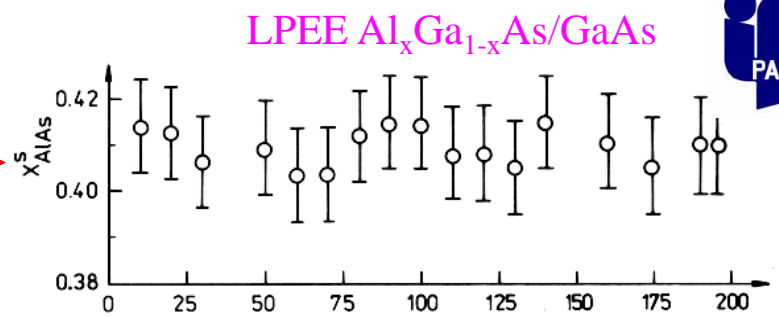


LPEE AlGaSb/GaSb

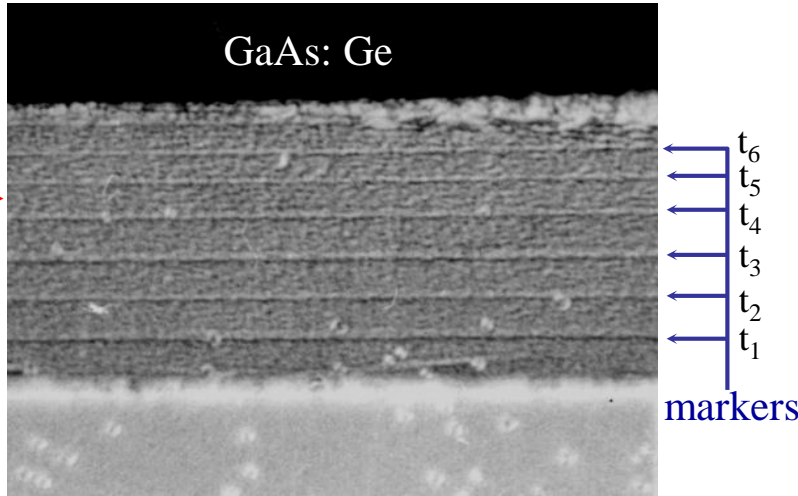
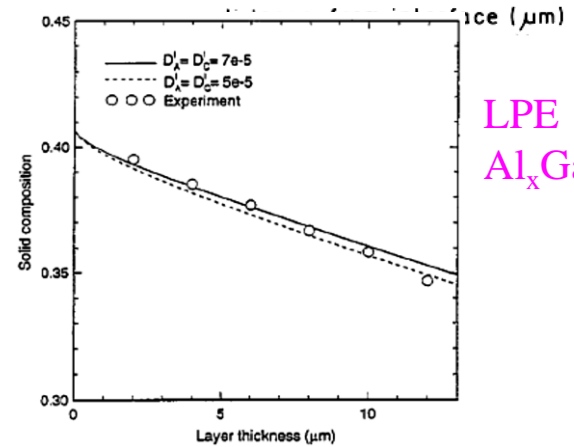
# LPEE - advantages

- compositional uniformity
- *in situ* monitoring
- time markers
- simultaneous growth of many crystals
- „easier”  $V_{gr}$  control
- surface stability

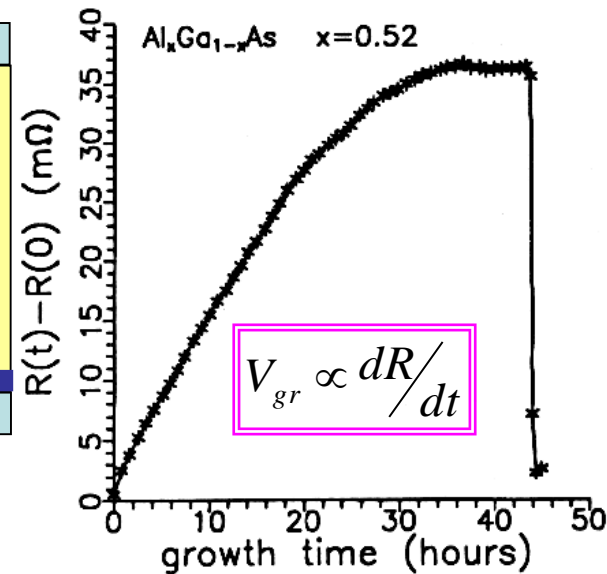
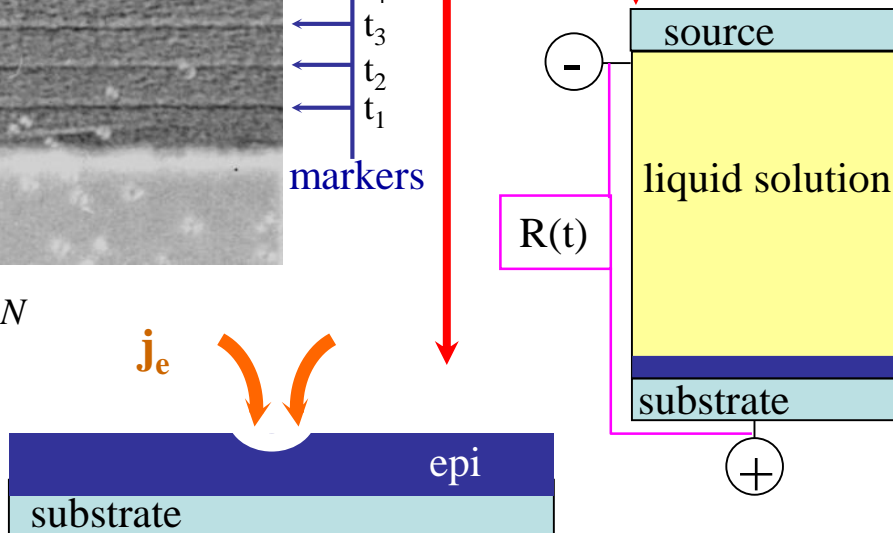
$T = \text{const.}$



$\sim$  current density

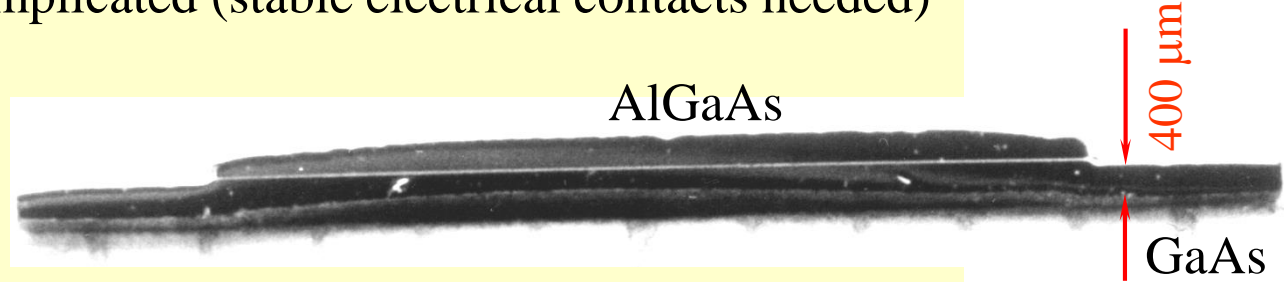


Z.R. Zytkeiwicz, IF PAN



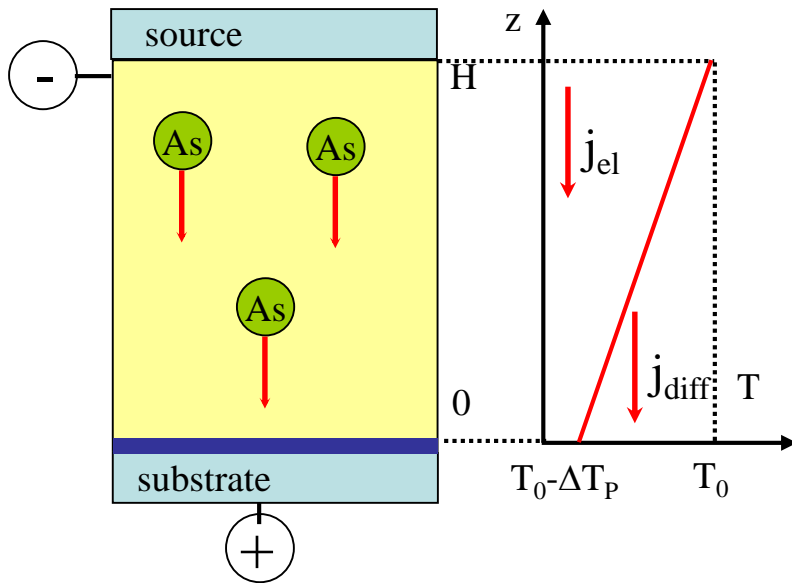
# LPEE - disadvantages

- LPEE system more complicated (stable electrical contacts needed)

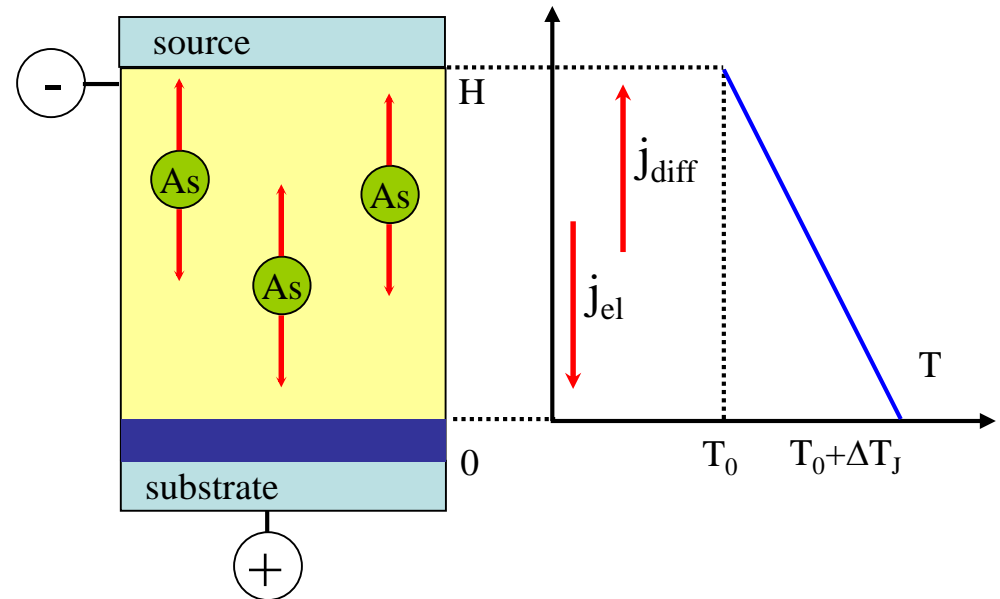


- Joule effect limiting the crystal thickness

no Joule effect



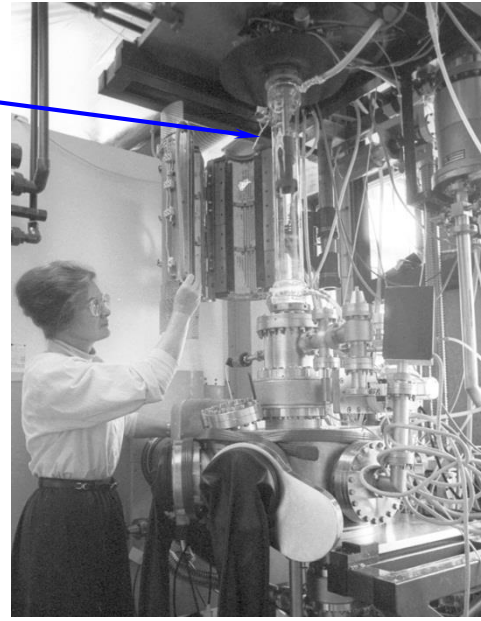
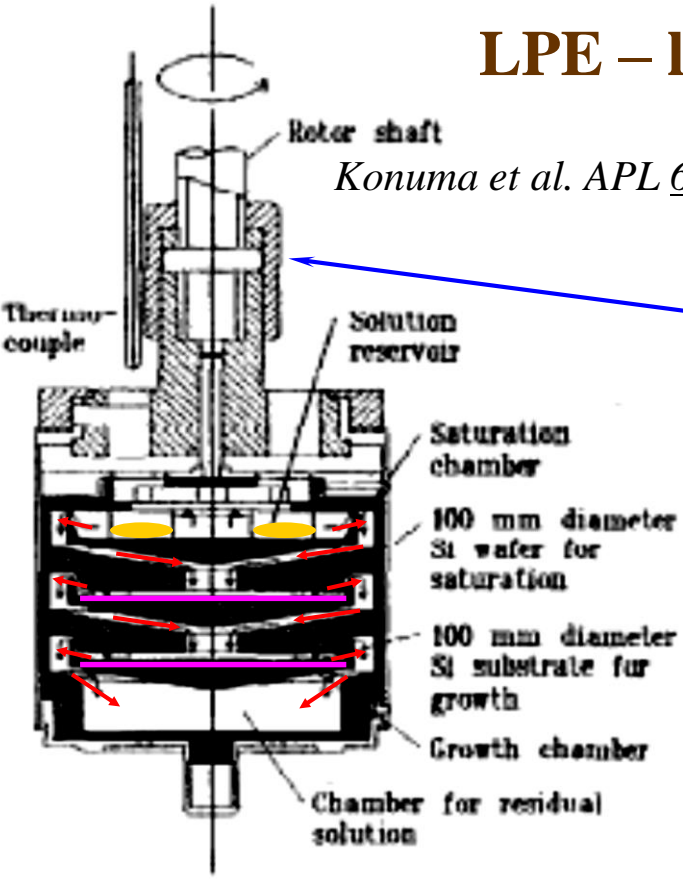
with Joule effect



growth can be continued if  $j_{el} \downarrow$

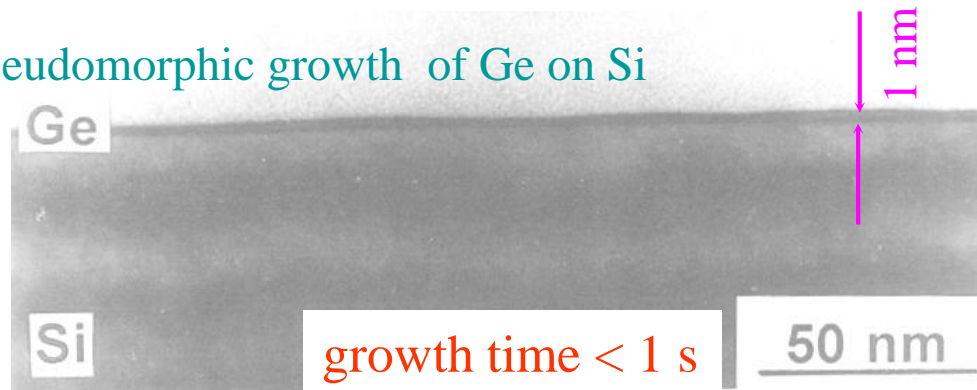
# LPE – low dimensional structures

Konuma et al. APL 63 (1993) 205

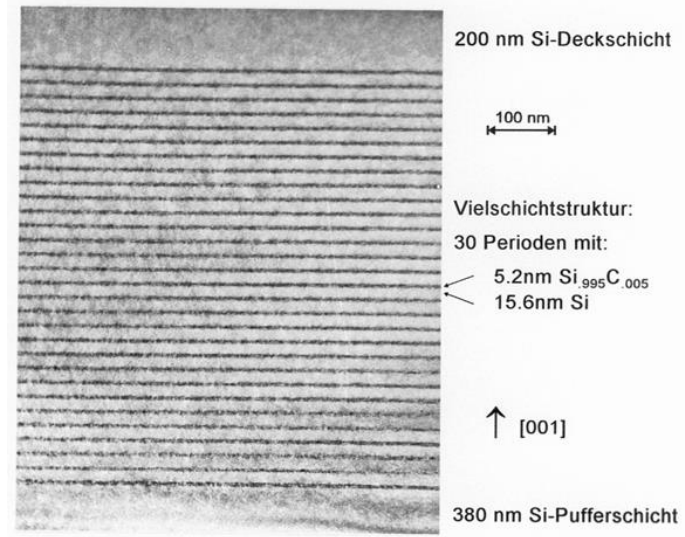


4" substrate !!!

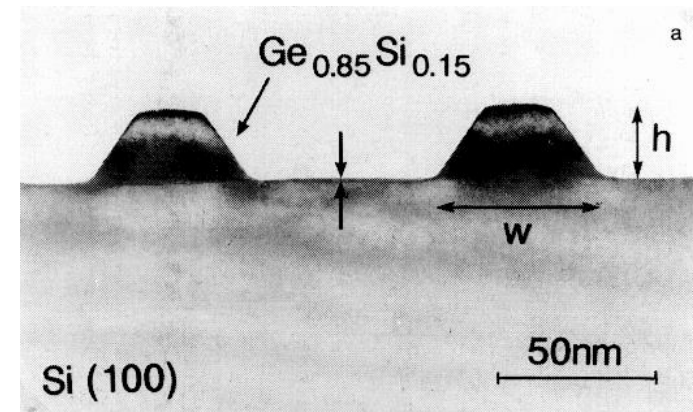
pseudomorphic growth of Ge on Si



Si 15.6 nm/Si<sub>0.995</sub>C<sub>0.005</sub> 5.2 nm



SiGe/Si QDs



# LPE - summary

## LPE



### **solution growth:**

- low concentration of point defects
- high purity of the layers (segregation of impurities)
- selective area epitaxy easy
- wide range of compounds

### **epitaxy:**

- ordered growth of multilayer crystalline structures

- technically simple (standard version)
- „cheap and easy”
- „safe” technique
- growth rate  $\sim \mu\text{m}/\text{min}$
- growth of low-dimensional structures very difficult

### **disadvantages:**

#### **limitations due to equilibrium nature of LPE growth**

- doping limited by phase diagram (e.g. GaAs:Mn)
- structures requiring a high supersaturation (GaAs/Si) difficult to fabricate
- systems with limited solubility in solid (phase separation) difficult to grow
- no *in situ* growth monitoring possible (some possibilities in LPEE)

**LPE considered as „old fashion” technology – wrong !!!  
Every technology is important and valuable if properly used**

## for further reading on LPE

Handbook of Crystal Growth, Ed. D.T.J. Hurle  
vol. 3, Elsevier 1994

- E. Bauser *Atomic mechanisms in semiconductor Liquid Phase Epitaxy*
- M.B. Small, E.A. Giess and R. Ghez *Liquid Phase Epitaxy*

E. Kuphal *Liquid Phase Epitaxy* Appl. Phys. A52 (1991) 380.

M.B. Small, I. Crossley *The physical processes occurring during liquid phase epitaxial growth*  
J. Cryst. Growth 27 (1974) 35.

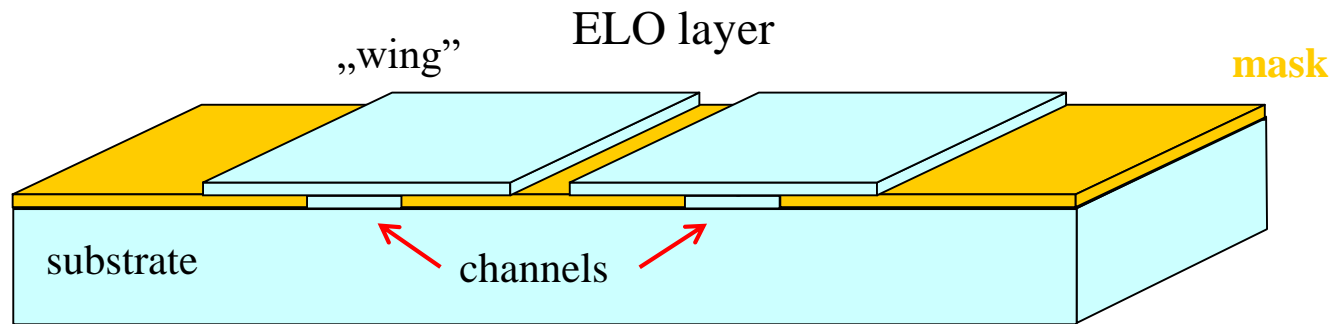
M.G. Astles *Liquid Phase Epitaxial Growth of III-V Compound Semiconductor Materials and their Device Applications*, IOP Publishing 1990.

B. Pamplin (ed.) *Crystal growth*, Pergamon, 1974

K. Sangwal (ed.) *Elementary Crystal Growth*, SAAN Publishers, 1994.



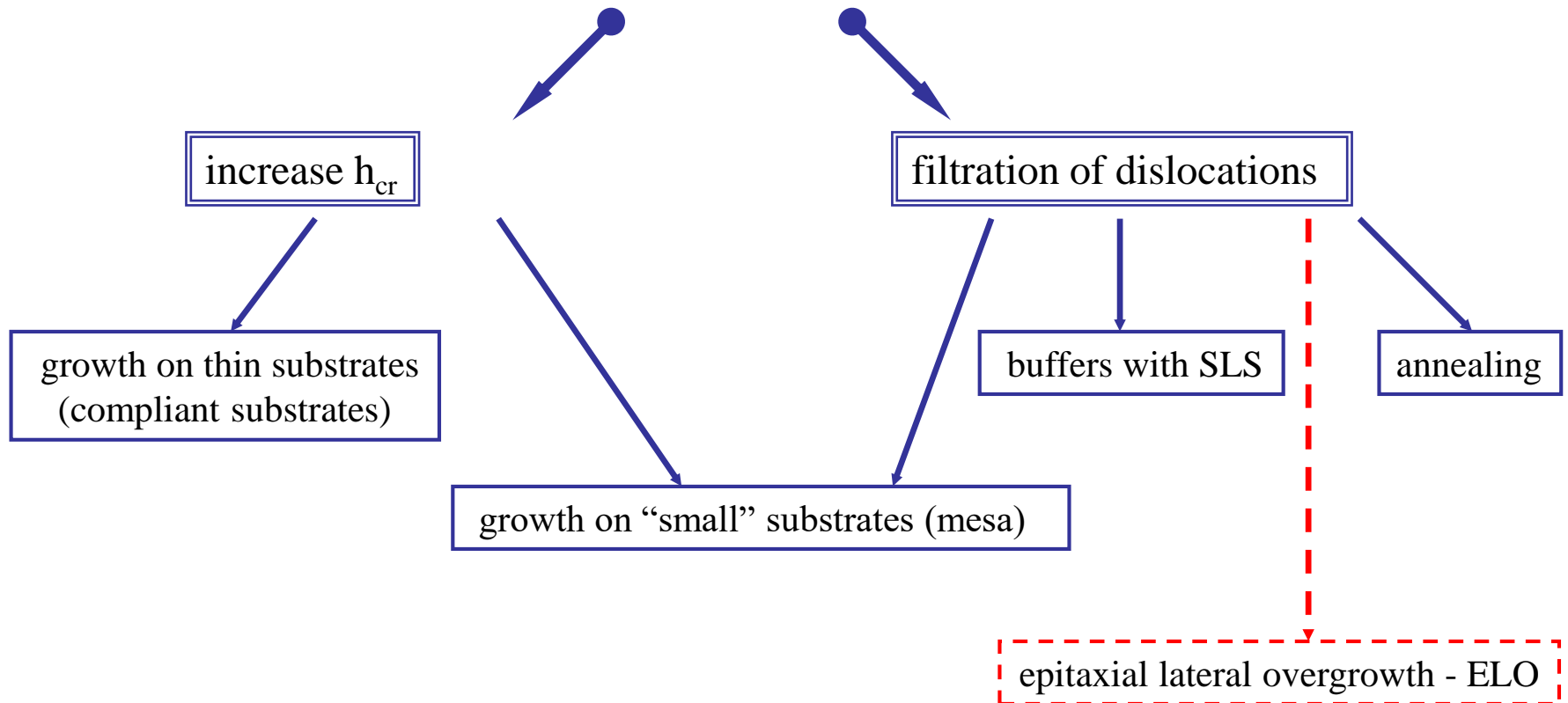
# *Epitaxial Lateral Overgrowth (ELO)*



## **requirements:**

- **high growth selectivity (no nucleation on the mask)**
- **fast lateral (horizontal) growth  $V_{\text{lat}}$**
- **slow normal (vertical) growth  $V_{\text{ver}}$**

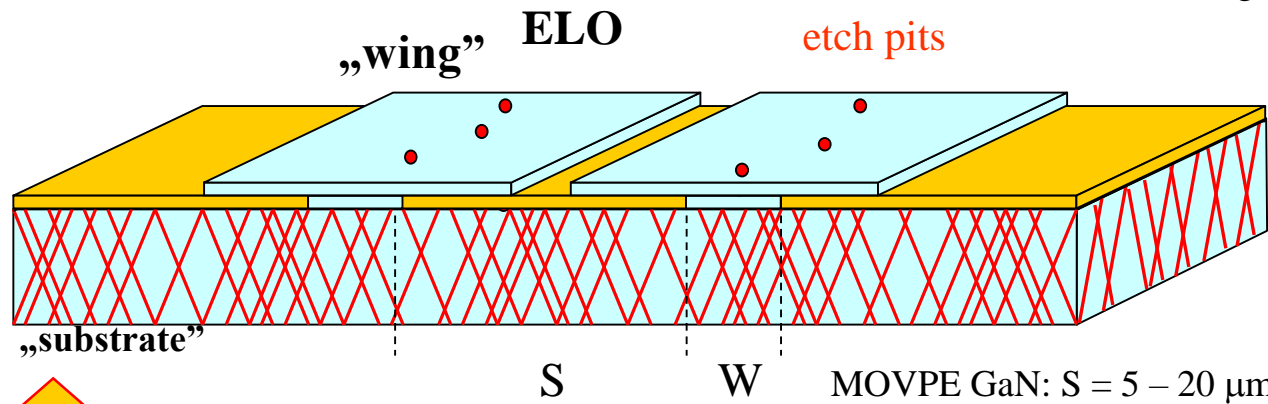
# Methods to reduce defect density in lattice mismatched epitaxial structures - summary



There are no universal method to reduce dislocation density in lattice mismatched heterostructures;  
 The best way is to avoid lattice mismatch – find the suitable substrate !!!

# *ELO = a method to reduce dislocation density in epitaxial structures*

**mask:** SiO<sub>2</sub>, Si<sub>3</sub>N<sub>4</sub>,  
W, ZrN, grafit, ...



MOVPE GaN: S = 5 – 20 μm; W = 2 - 5 μm  
LPE GaAs: S = 100 – 500 μm; W = 6 - 10 μm



**ELO**

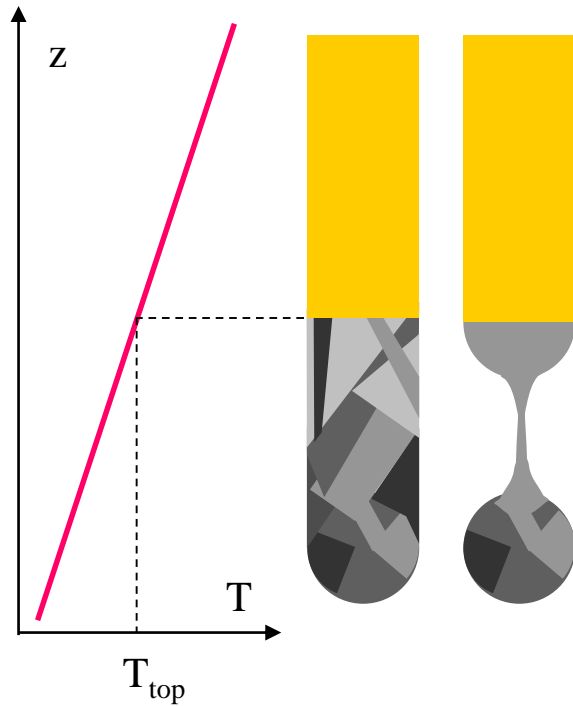
	GaN	GaAs	A <sub>x</sub> B <sub>1-x</sub> C
buffer	GaN	GaAs	A <sub>x</sub> B <sub>1-x</sub> C
substrate	sapphire	Si	binary

new class of substrates with designed lattice parameter  $a = f(x)$

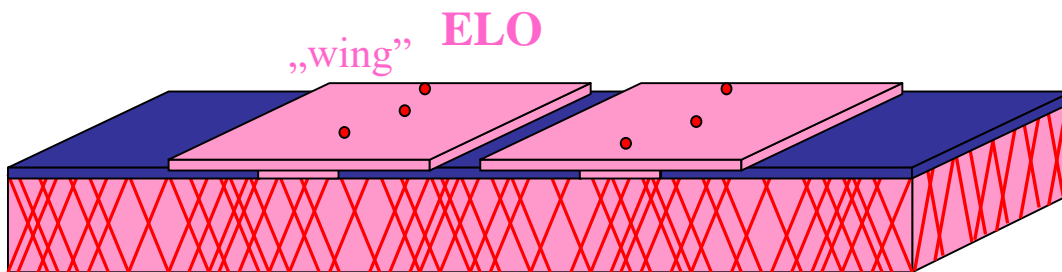
**wide and thin ELO layers needed**

# dislocation filtration in ELO – is it a new idea?

## Necking in Bridgman growth



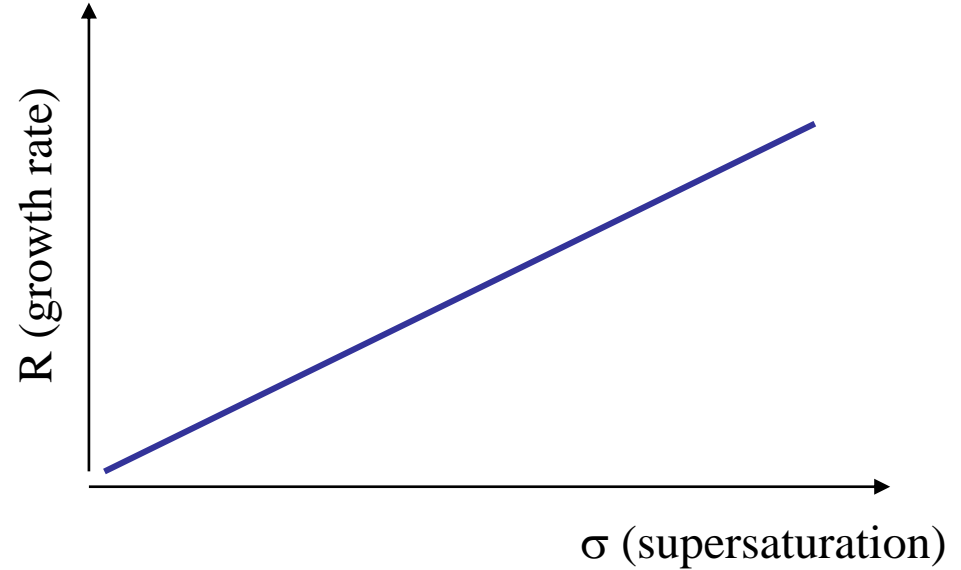
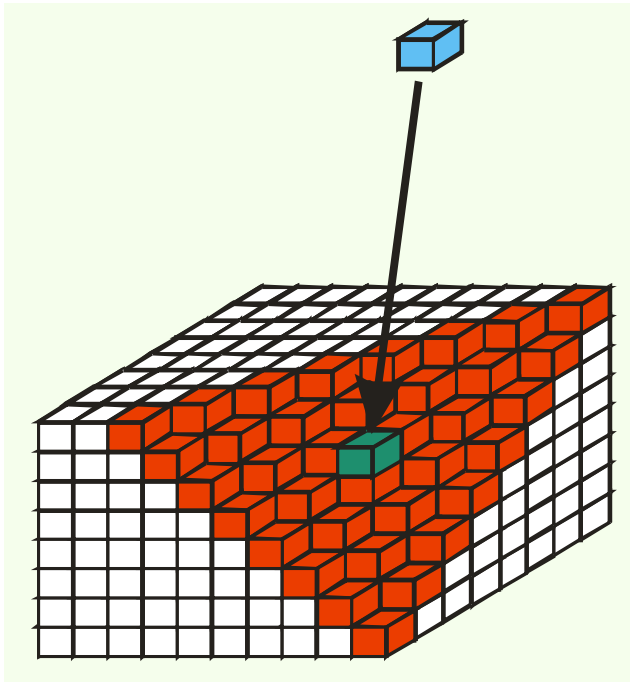
## Cu crystal – Czochralski growth



**recipe:** take from the seed info on crystal lattice; do not take defects;

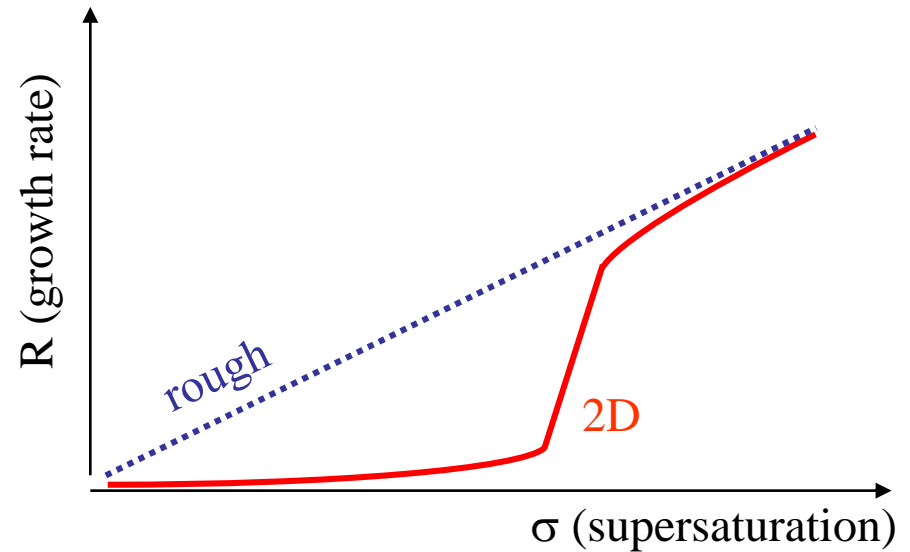
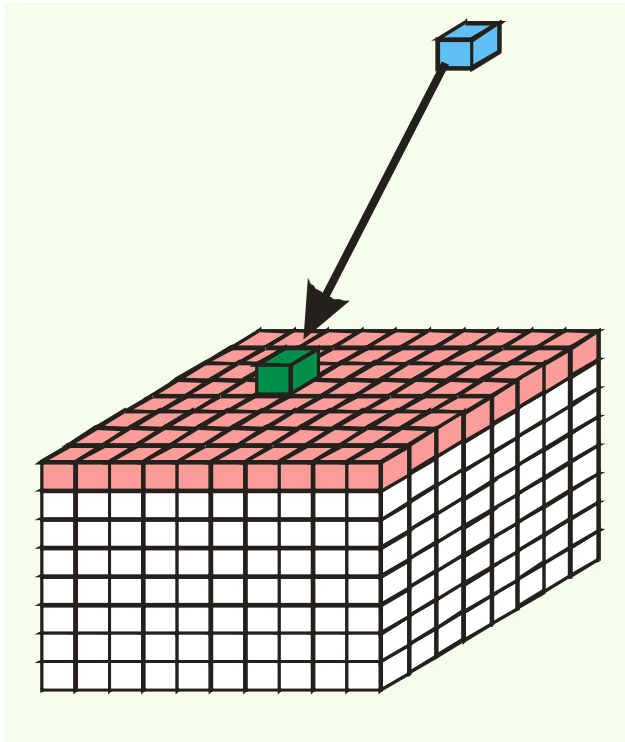
# Growth rate of various crystal faces (*Krukowki's lecture*)

atomically rough surface



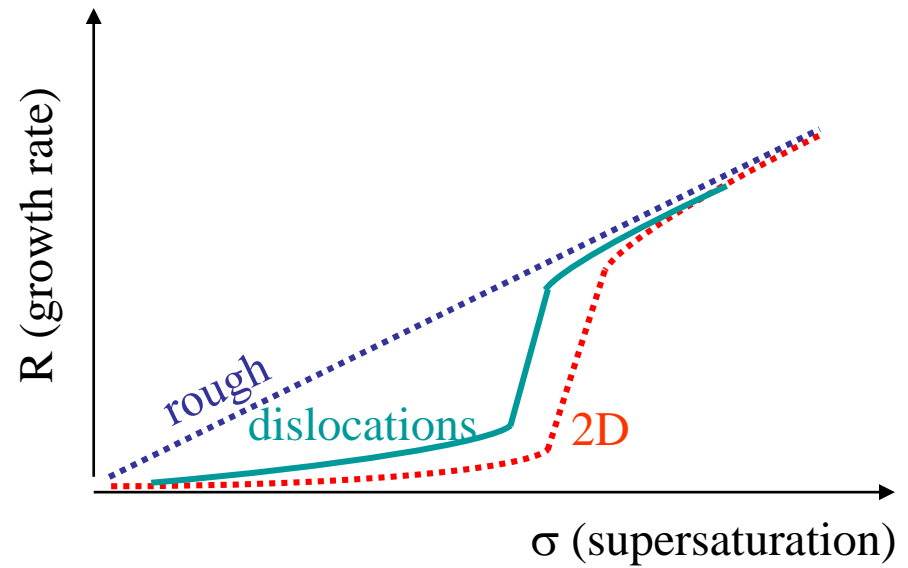
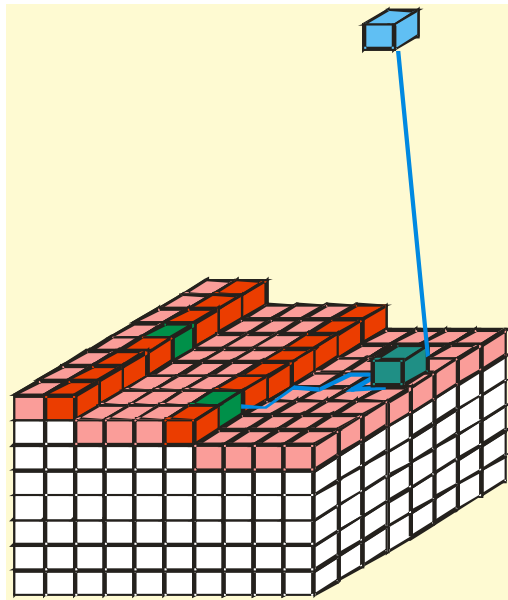
# Growth rate of various crystal faces (*Krukowki's lecture*)

atomically smooth surface w/o dislocations (2D nucleation)

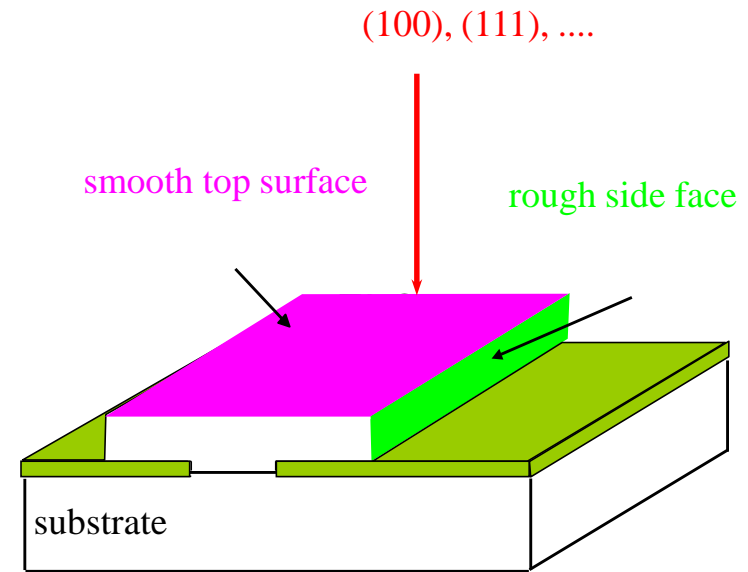
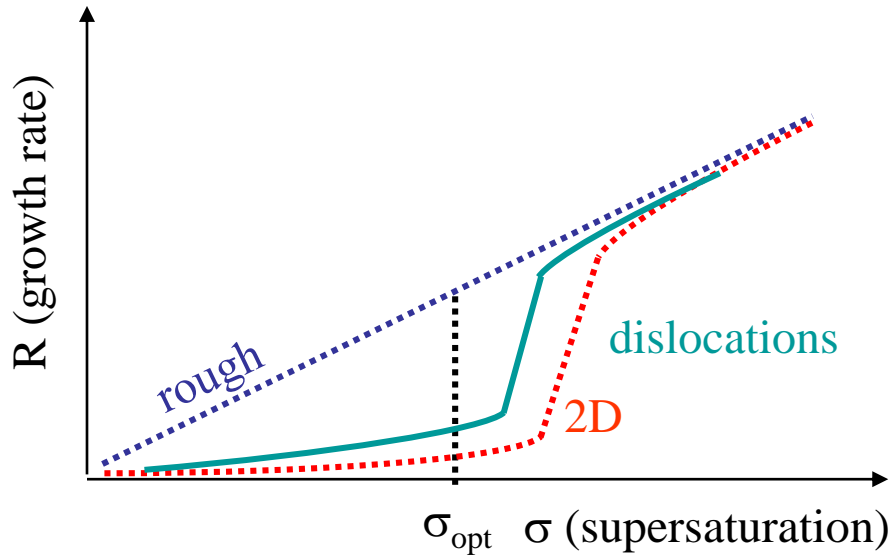


# Growth rate of various crystal faces (Krukowki's lecture)

atomically smooth surface with dislocations



# Mechanism of ELO growth

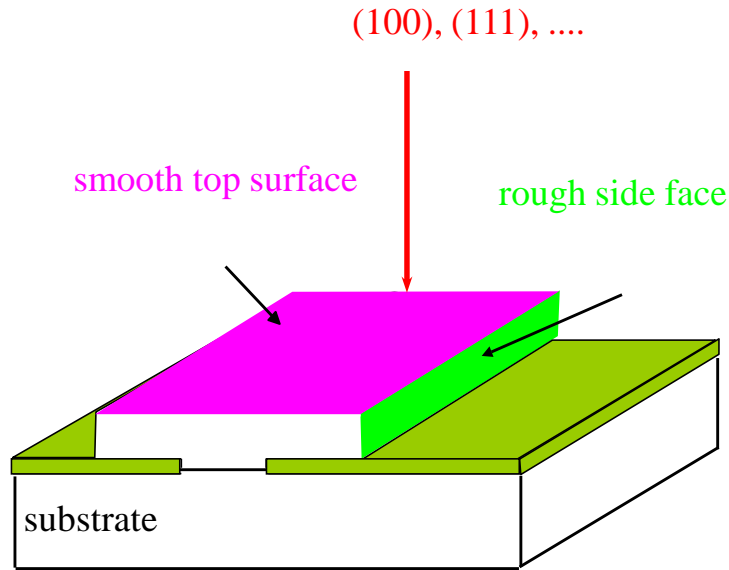


to get a high aspect ratio we need:

- smooth top surface (low normal growth rate  $V_{ver}$ )
- rough side face (high lateral growth rate  $V_{lat}$ )
- adjust supersaturation to  $\sigma_{opt}$  - LPE perfect !!!
  - VPE, MOVPE, HVPE - possible
  - MBE ???? problems

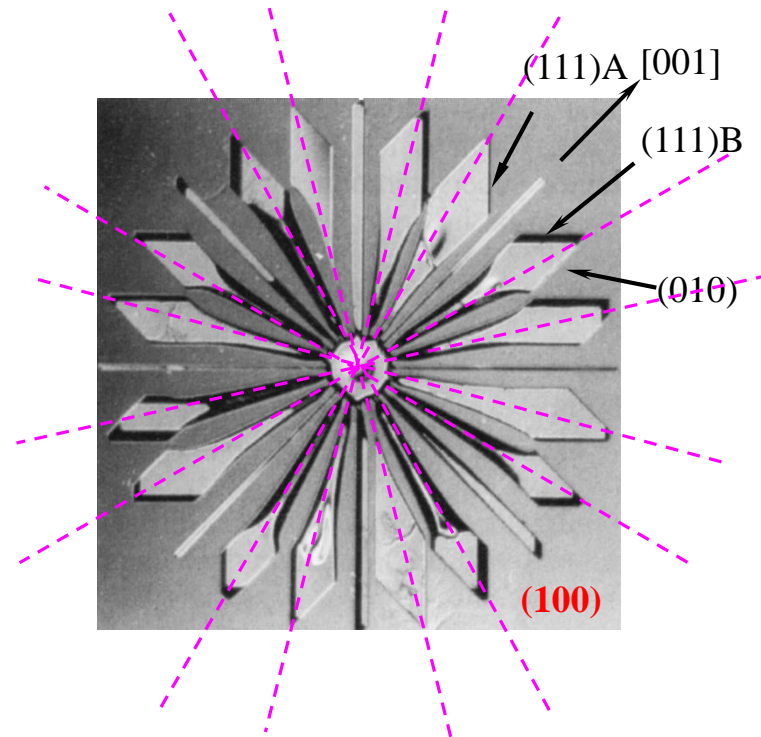


# Mechanism of ELO growth



Zytkeiwicz *Cryst. Res. Technol.* 1999

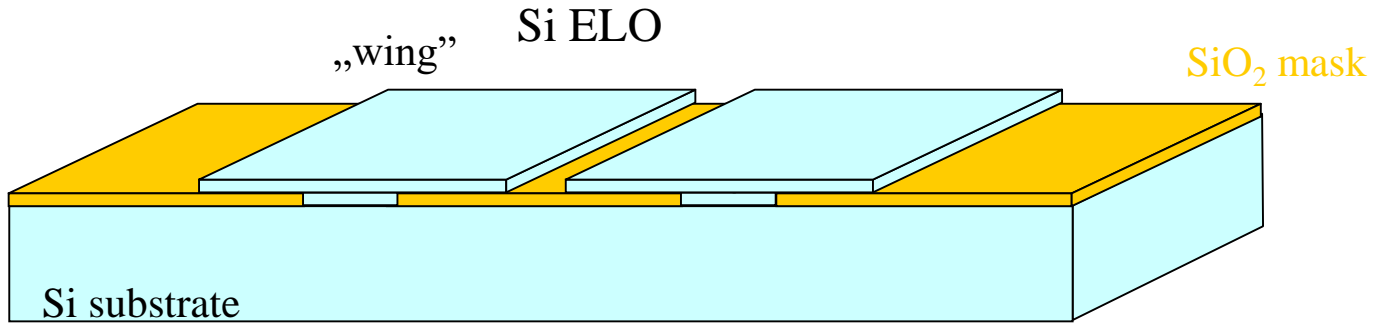
GaAs layer on (100) GaAs by LPE



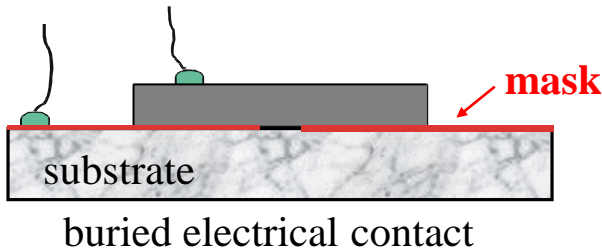
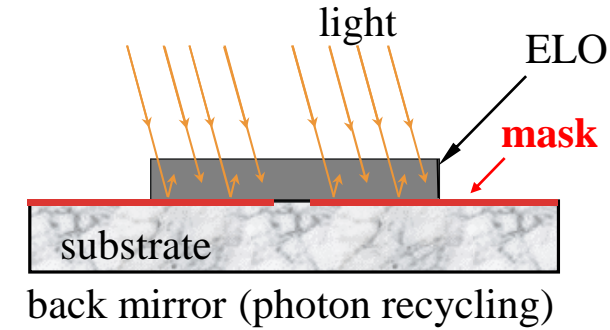
8 equivalent window directions on substrate without miscut

1 preferential window orientation on substrate with surface miscut

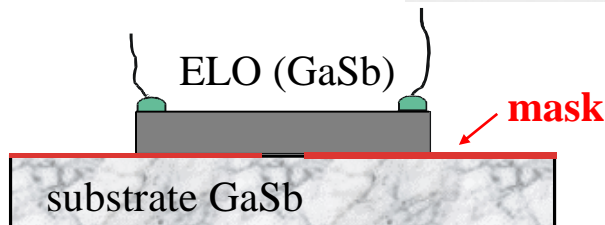
# Application of ELO structures grown by LPE



- silicon-on-insulator structures
- buried electrical contact/mirror

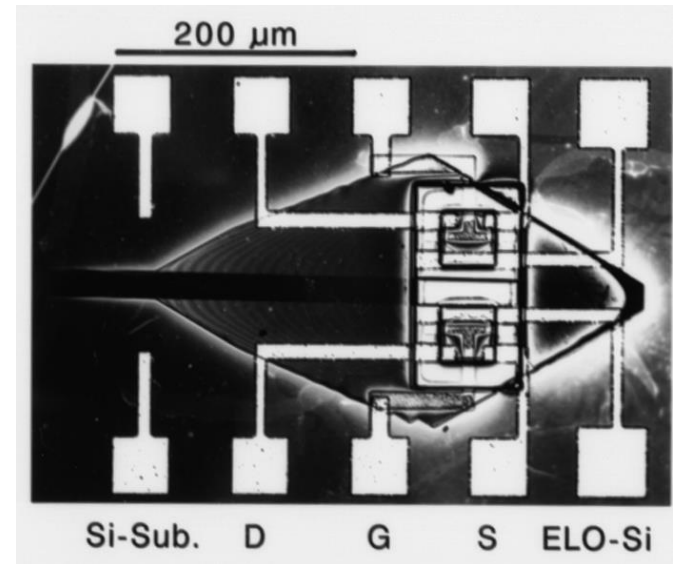


- electrical separation of epilayer from the substrate



MOS transistor on ELO Si/SiO<sub>2</sub>

*Bergmann et al. Appl. Phys. A (1992)*



# ELO Si/SiO<sub>2</sub>/Si by LPE

E. Bauser et al. Max-Planck Inst. Stuttgart

origin of strange ELO shape  
- the case of dislocation-free Si substrate

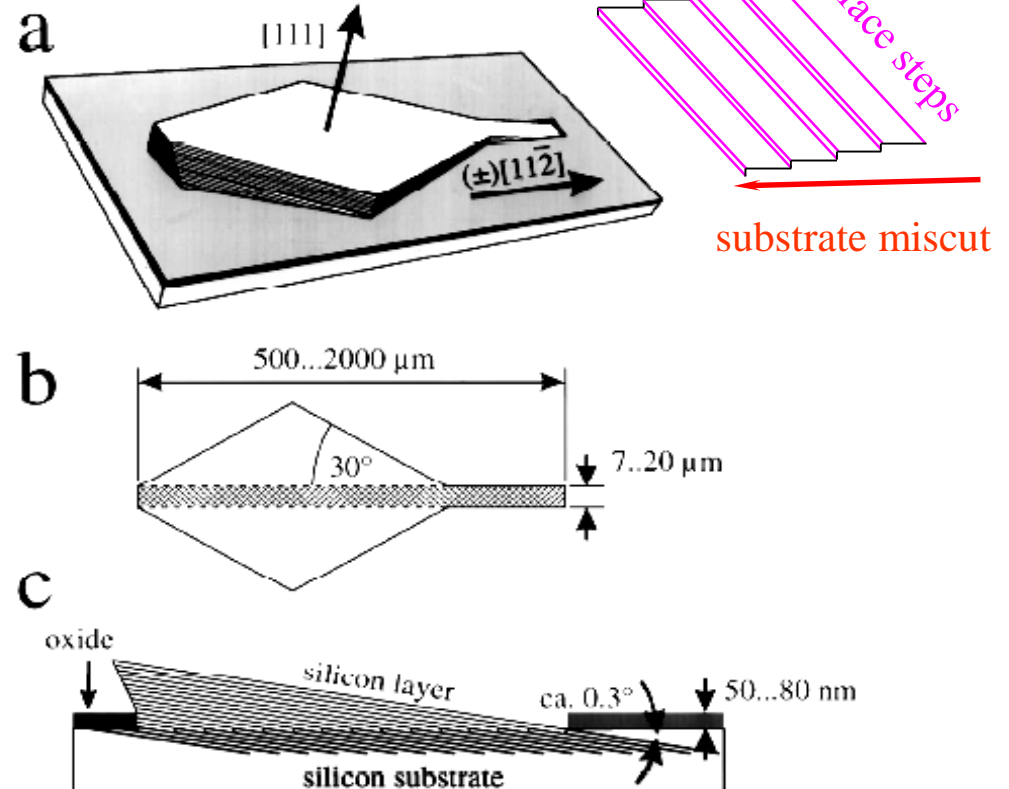
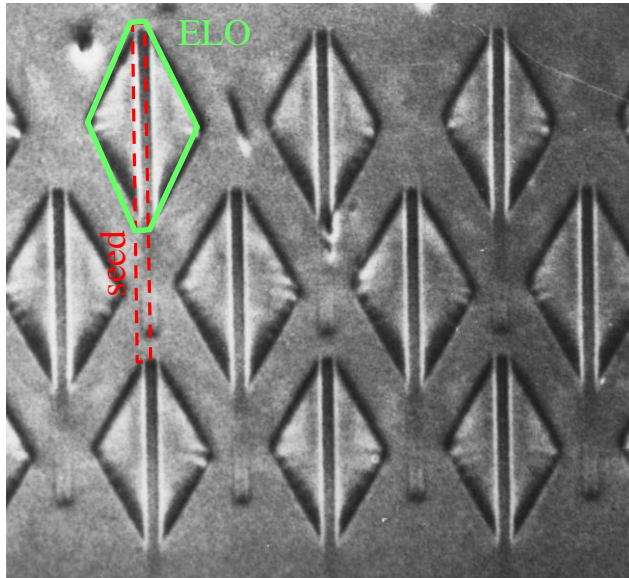
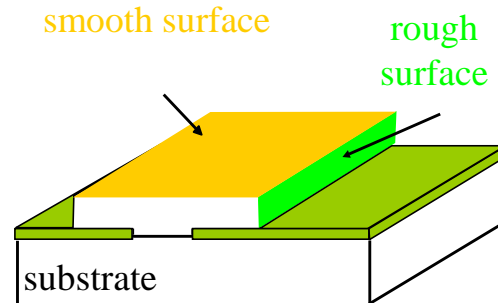
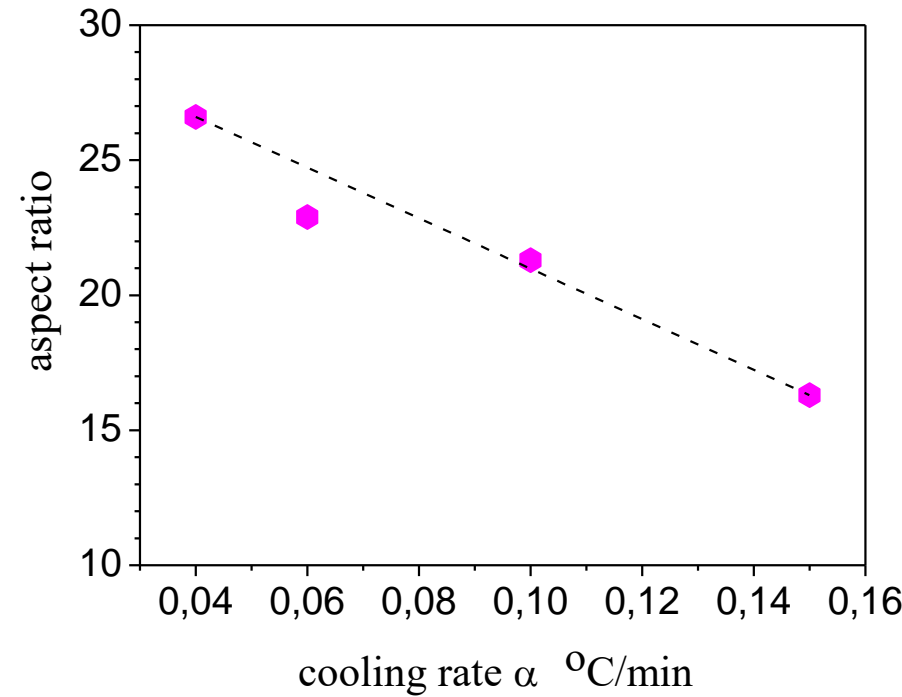
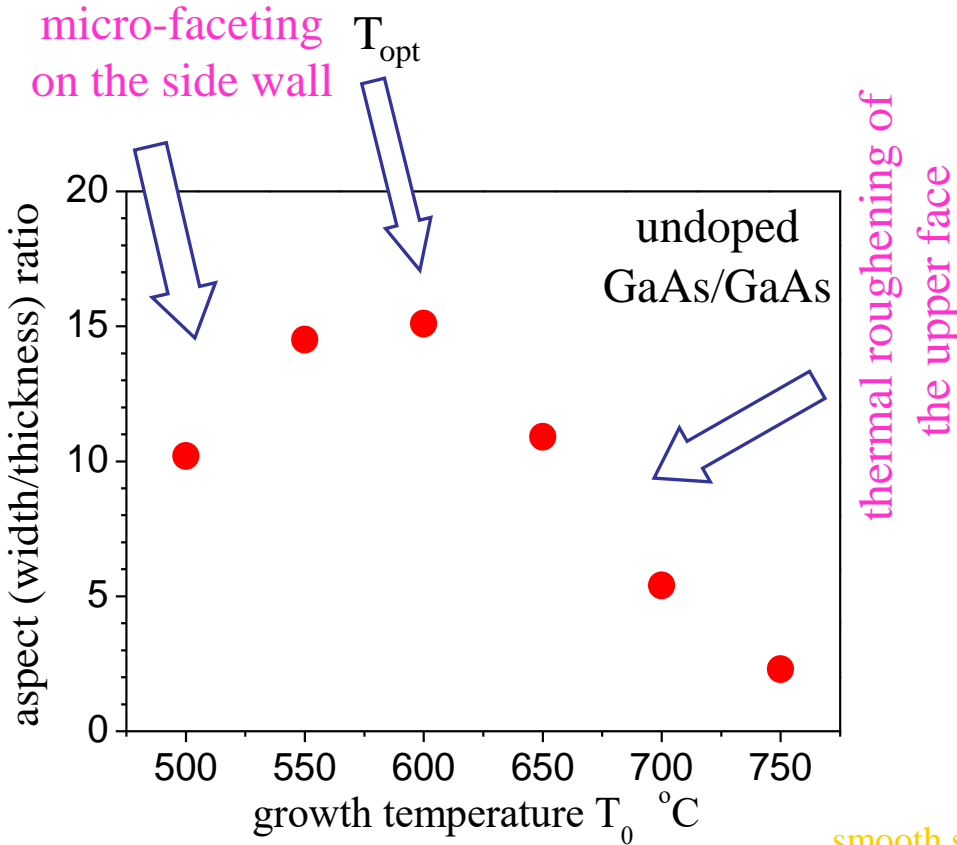


FIG. 1. Silicon layers grown from oxide-free seeding areas on {111} Si. Substrate partially masked by thermal oxide. Substrate off-orientation 0.3° in the [112] direction (schematic view). The crosshatched area in (b) indicates the seed window.

# ELO – optimization of supersaturation in LPE

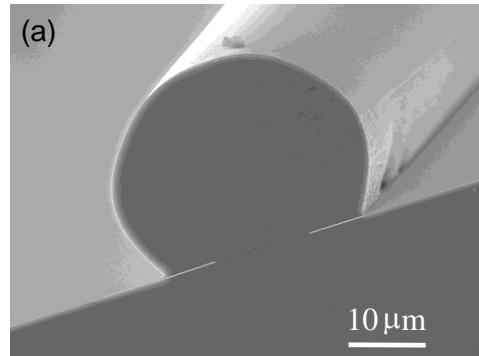
## growth temperature

## ELO GaAs - cooling rate

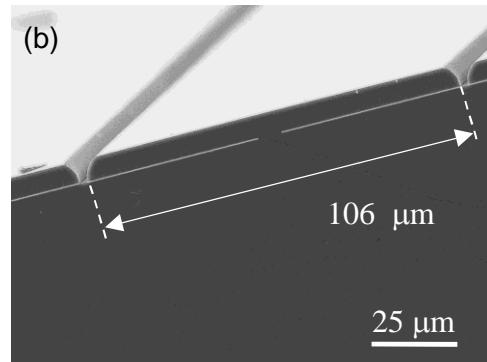


# ELO – influence of doping on the aspect ratio (LPE)

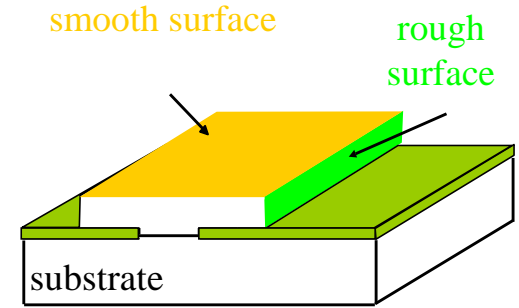
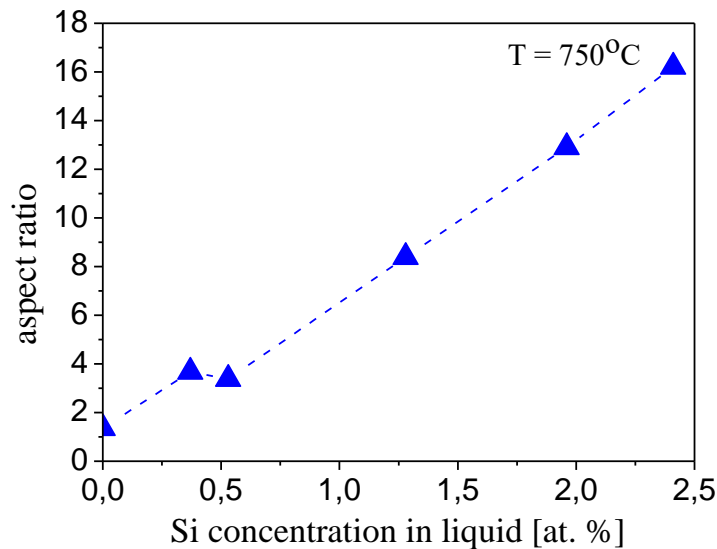
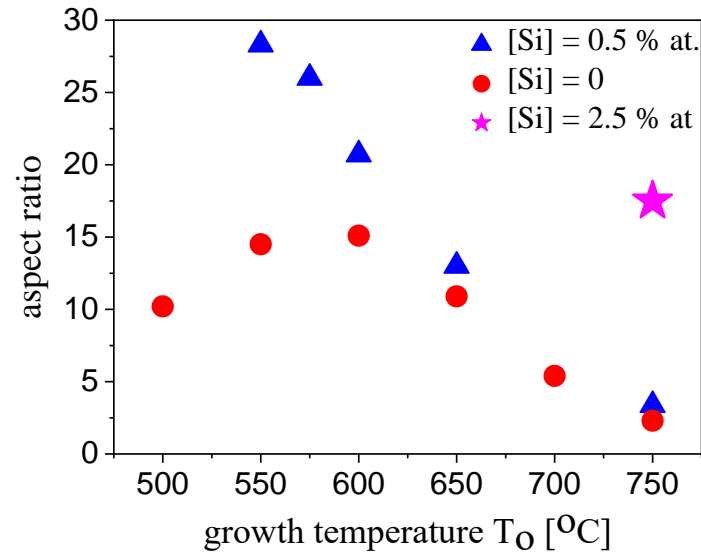
ELO GaAs - undoped



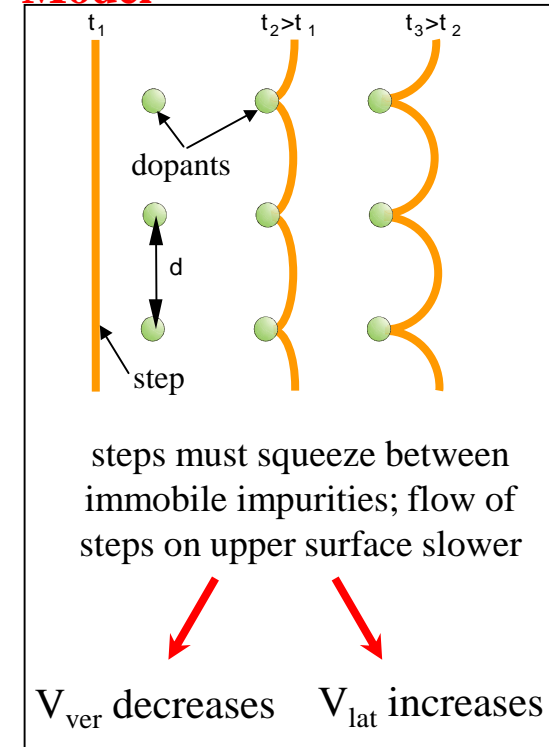
ELO GaAs - Si doped



Z.R. Zytkeiwicz, IF PAN



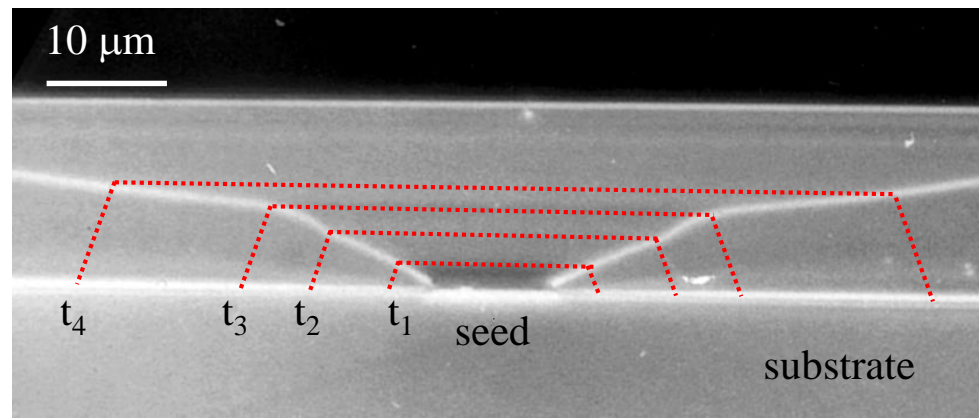
## Model



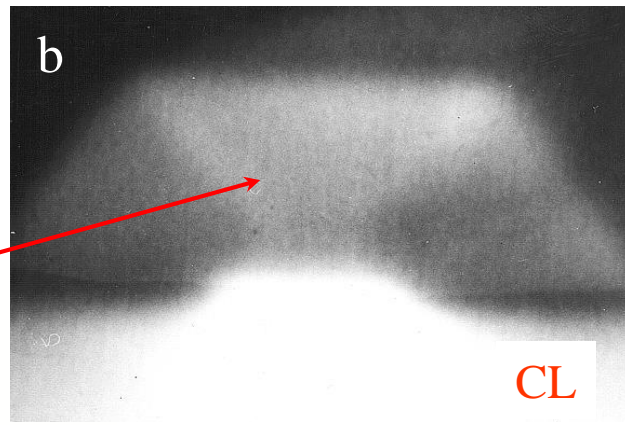
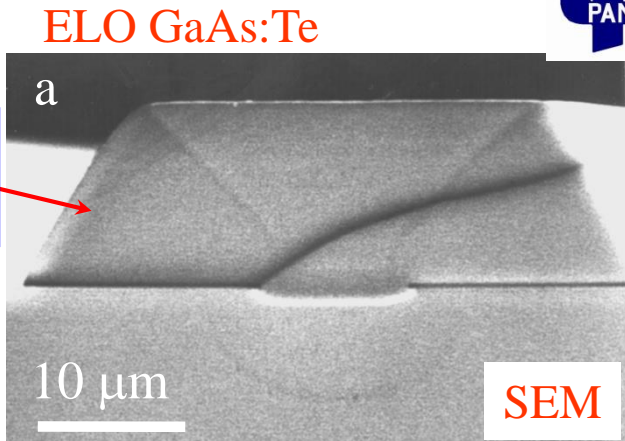
# ELO by LPE – dopant incorporation

doping vs. growth rate

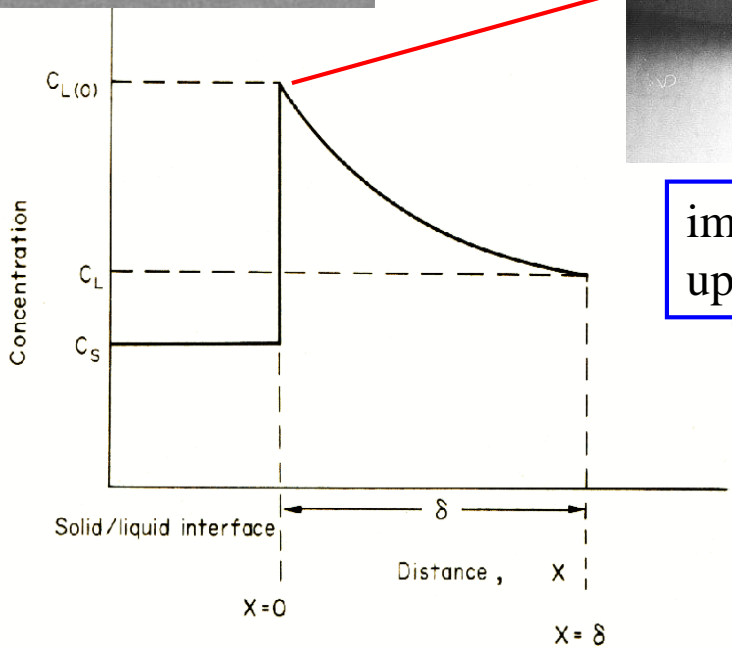
how ELO develops ...



rough face – no segregation  $k_0$



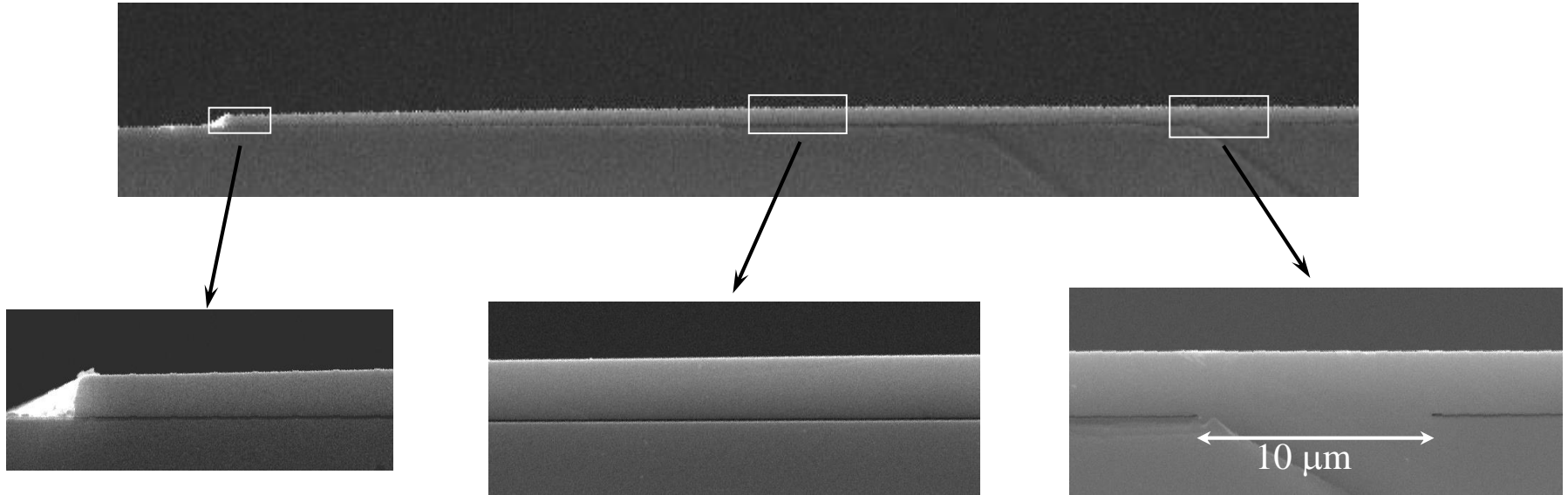
Z.R. Zykiewicz, IF PAN



impurity segregation at upper face  $k_{eff}$

# *GaAs ELO layers on GaAs substrates by LPE*

$L = 172 \mu\text{m}; t = 2.8 \mu\text{m}$



thickness  $t = 2.8 \mu\text{m}$   
width of the wing  $L = 172 \mu\text{m}$



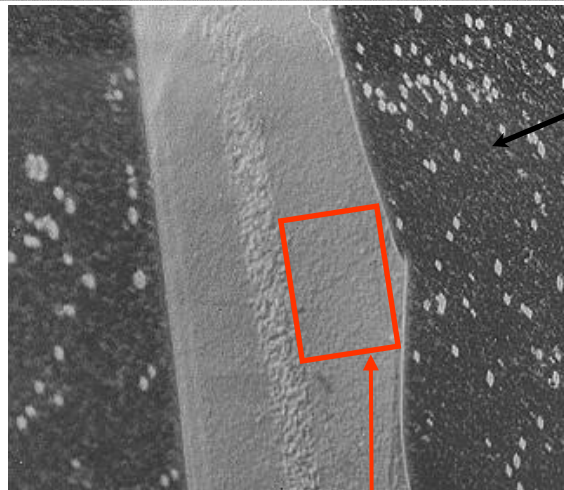
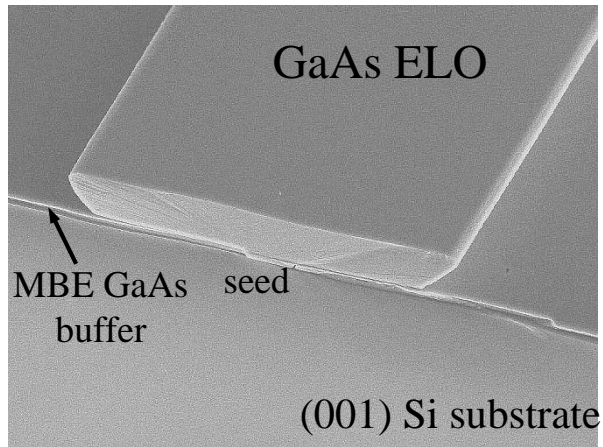
aspect ratio  $2L/t = 126$

Why the layer is thinner at the edge?  
nonuniform growth ...  
bowing ...

# Filtration of dislocations in ELO - examples

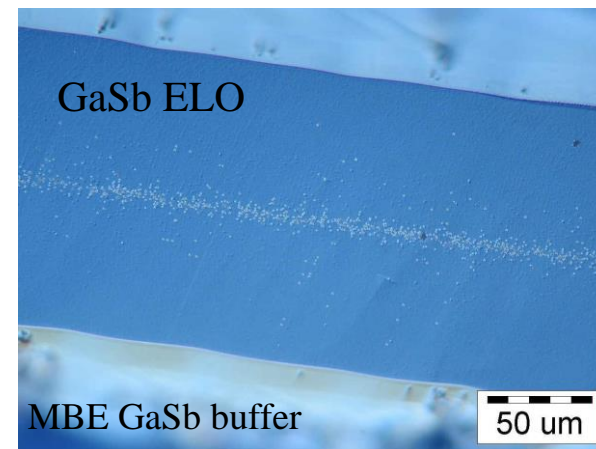
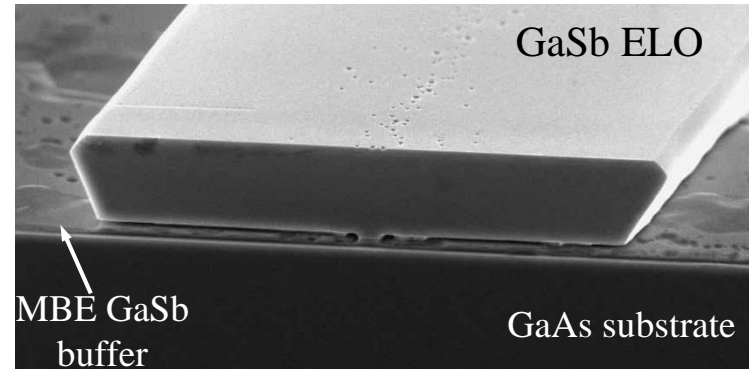
Z.R. Zykiewicz, IF PAN

## LPE - GaAs/Si



device

## LPE - GaSb/GaAs



EPD >  $10^{-8}$  cm<sup>-2</sup>

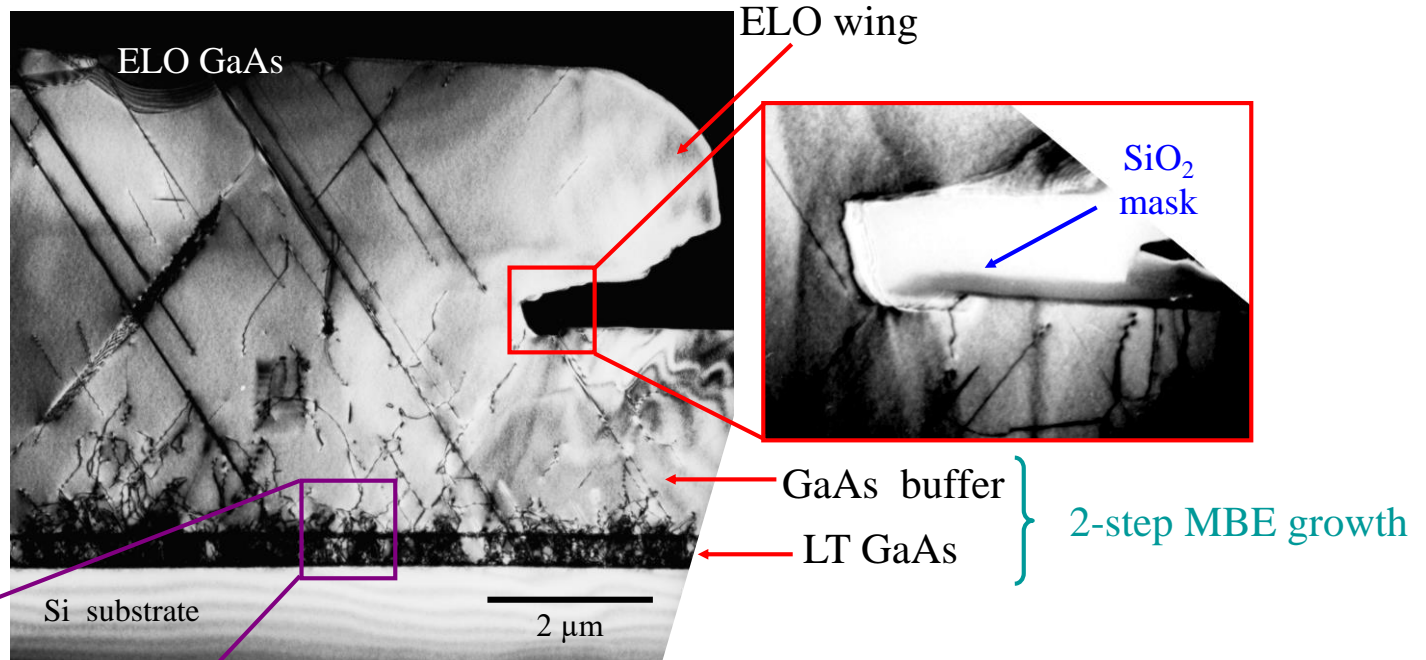
after etching

MBE grown GaAs/Si (GaSb/GaAs) templates; ELO by LPE



# Filtration of dislocations in ELO: TEM of GaAs/Si

Z.R. Zytkeiwicz, IF PAN

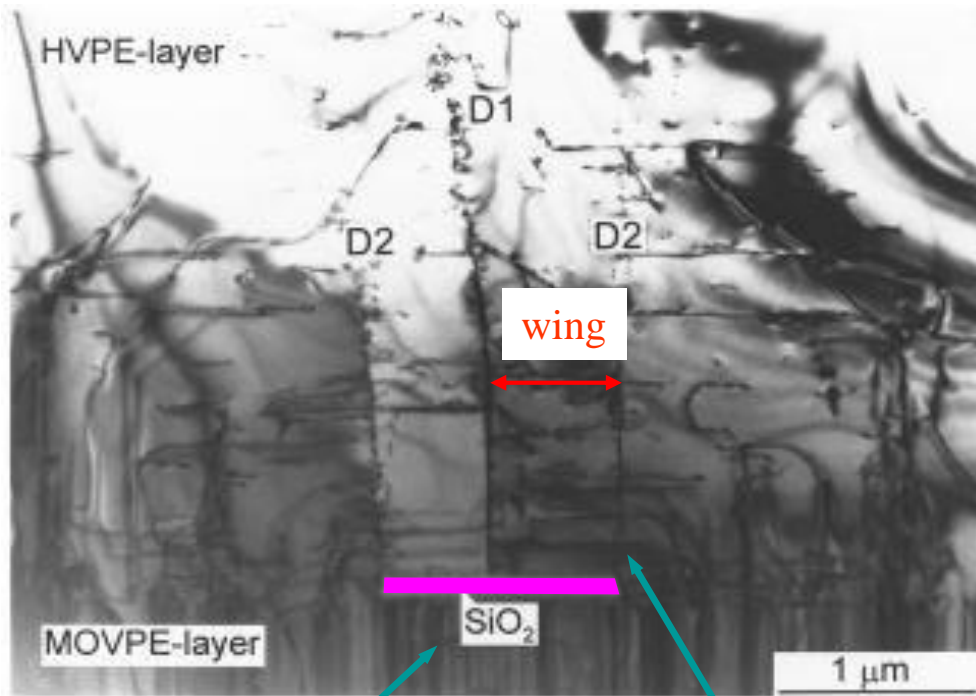


# Filtration of dislocations in ELO: TEM of HVPE GaN/sapphire



Sakai et al. APL 1998

TEM



dislocations blocked by the mask

bending of TD's in the window area !!!

width of the ELO wing

	MOVPE GaN*	LPE GaAs/Si**	LPE GaAs/GaAs
wing width L	$\leq 5 \mu\text{m}$	$\leq 90 \mu\text{m}$	$\leq 200 \mu\text{m}$

\* Fini et al. JCG (2000) \*\* Chang et al. JCG (1998)

# Filtration of dislocations in ELO: cathodoluminescence

Zytewicz *Thin Solid Films* 412 (2002) 64

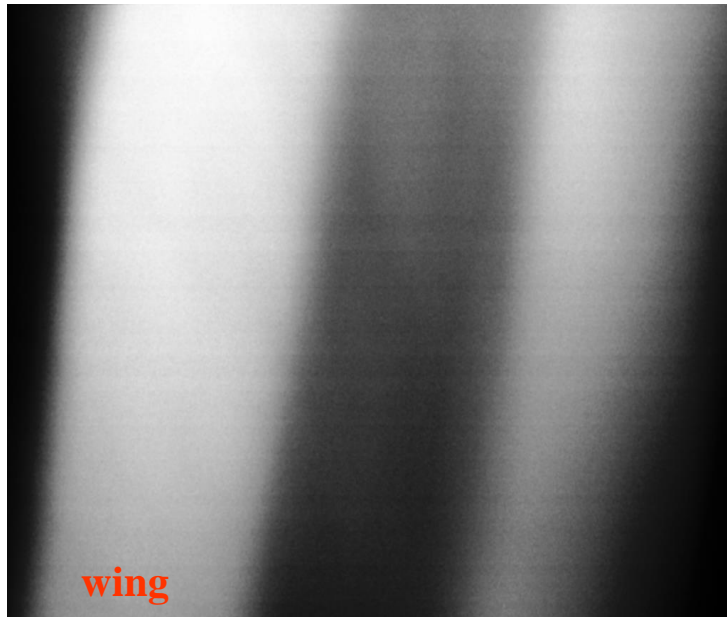
Yu et al. *MRS Internet Nitride Semicond. Res.* 1998

## LPE GaAs/Si

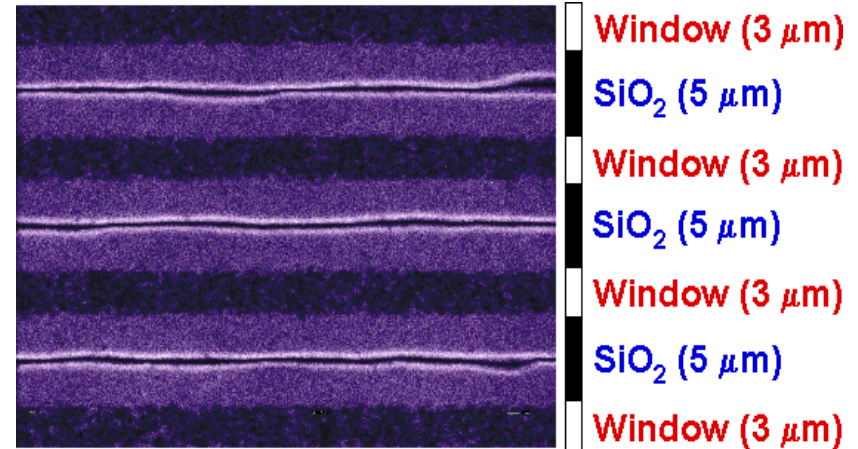
## MOVPE GaN on sapphire

integrated CL

band edge emission 365 nm



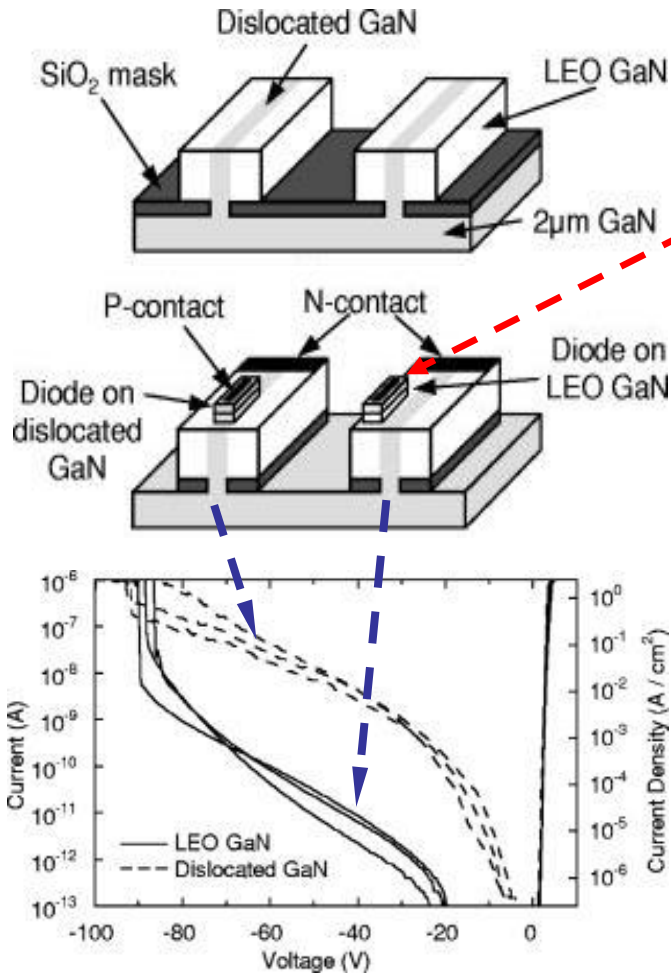
wing  
wing



↑  
GaAs grown over the seed

# ELO structures for devices

Kozodoy et al. APL 1998

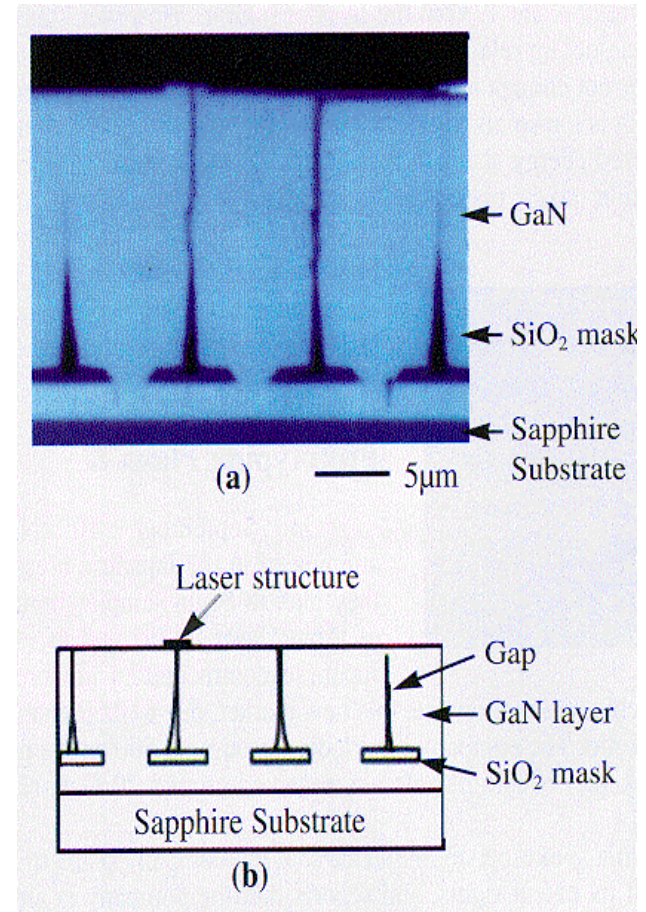


!!!

large leakage current due to TD

Semicond. Res. 4S1, G1.1 (1999)

CW RT blue LD - Nichia



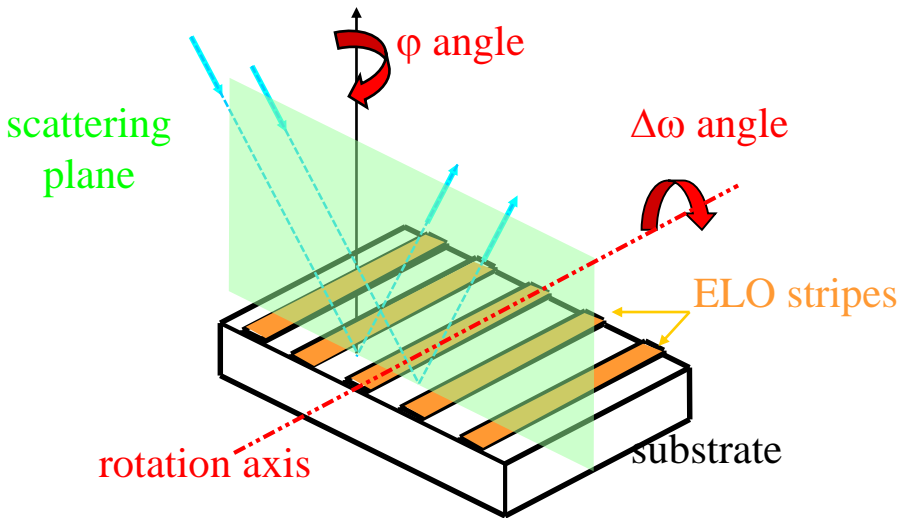
on the wing  
on the window

$j_{th} = 3 \text{ kA/cm}^2$   
 $j_{th} = 6-9 \text{ kA/cm}^2$

# Strain in ELO layers

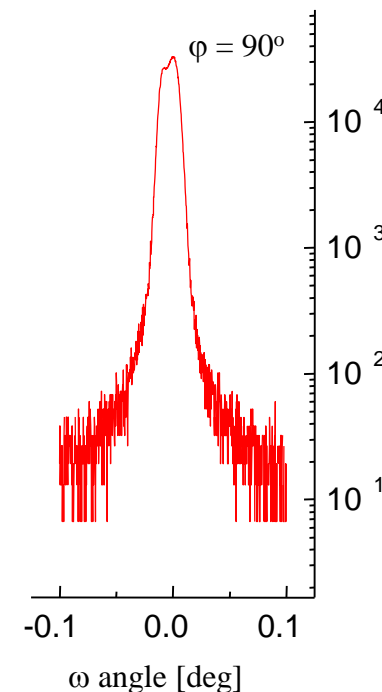
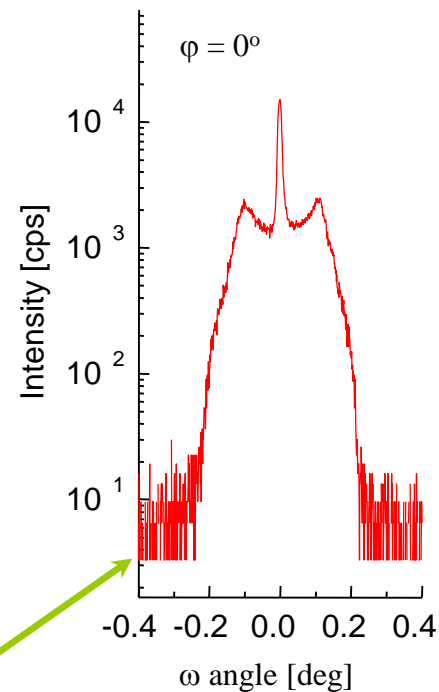
XRD – lecture by M. Leszczyński

## XRD geometry



## ELO GaAs on SiO<sub>2</sub>-coated GaAs

Zytkiewicz et al. JAP 1998

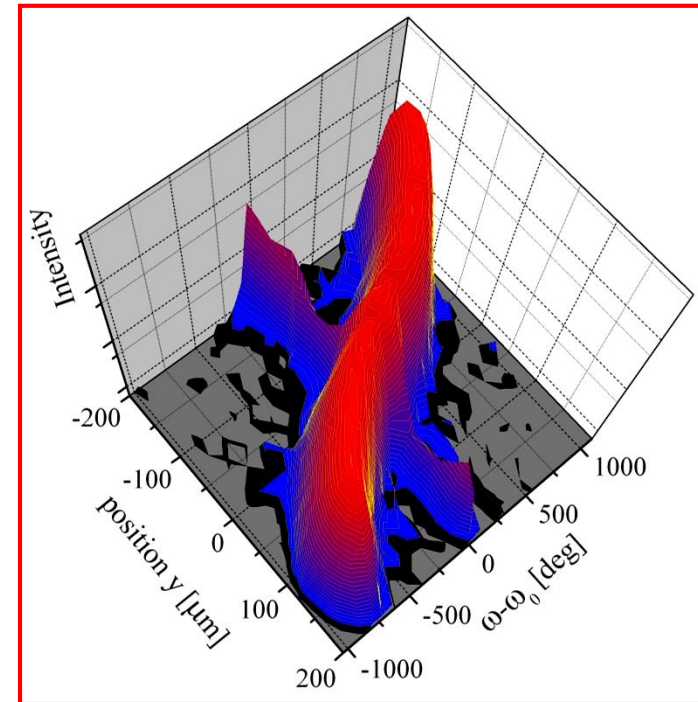
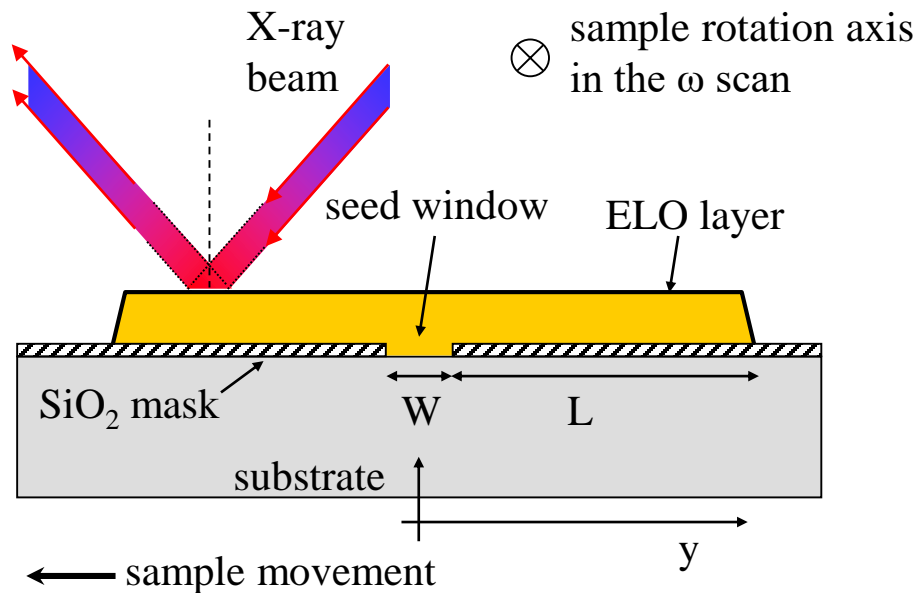


### broadening of the RC:

- different values of lattice parameters ?
- different orientations of the ELO stripes ?
- bowing – direction ?

"typical" GaAs epilayer

# Strain in ELO layers – local XRD



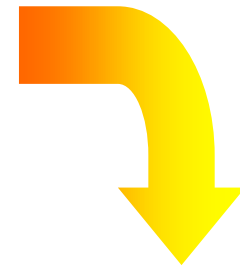
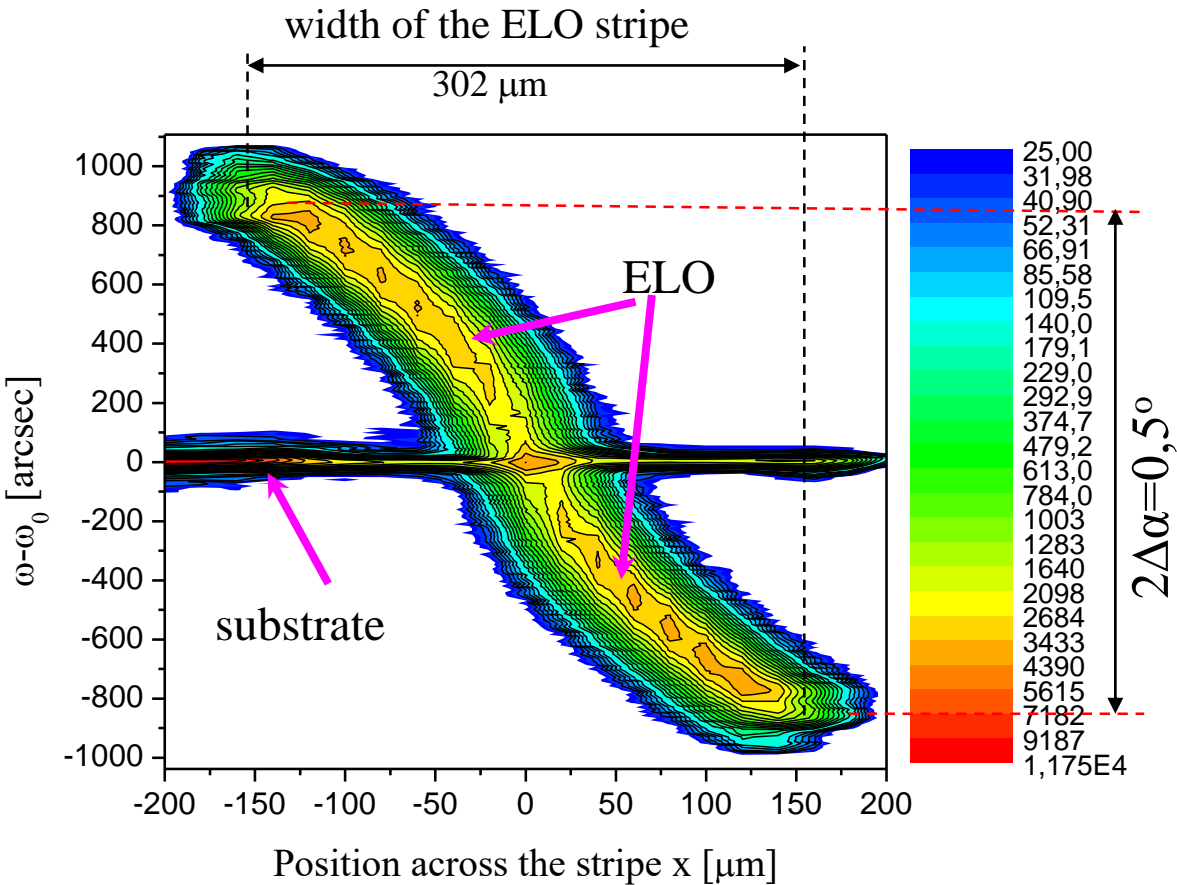
X-ray beam  $5 - 10 \mu\text{m} \times 0.5 - 10 \text{mm}$

sample movement step  $5 - 20 \mu\text{m}$

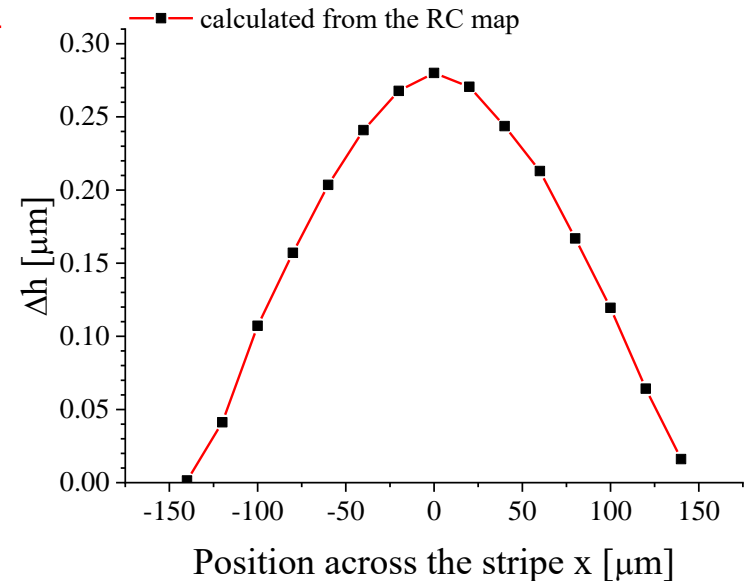
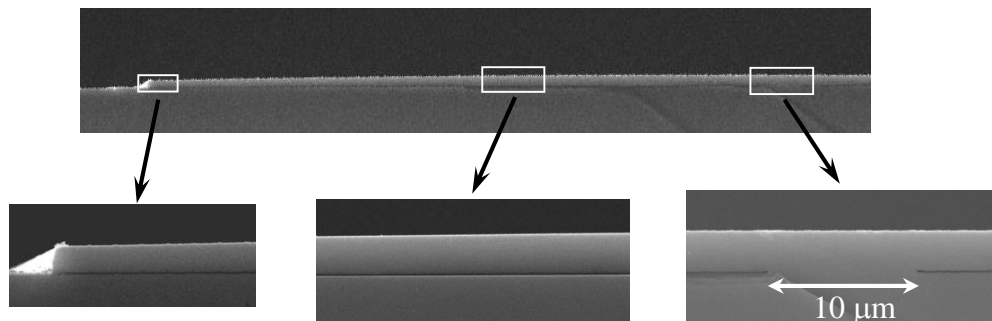
RC, RSM, ... measured *locally* → Rocking Curve mapping

# Strain in ELO layers – local XRD

Czyzak et al. Appl. Phys. A 2008

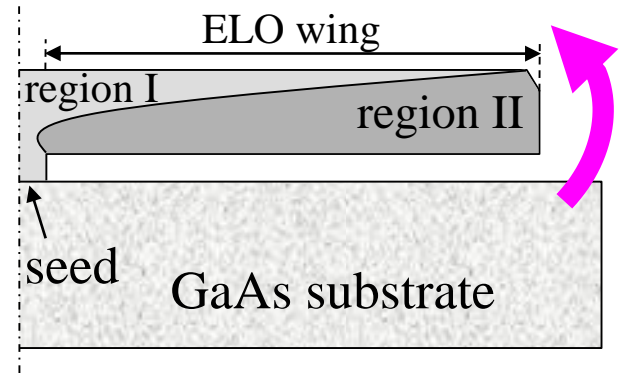
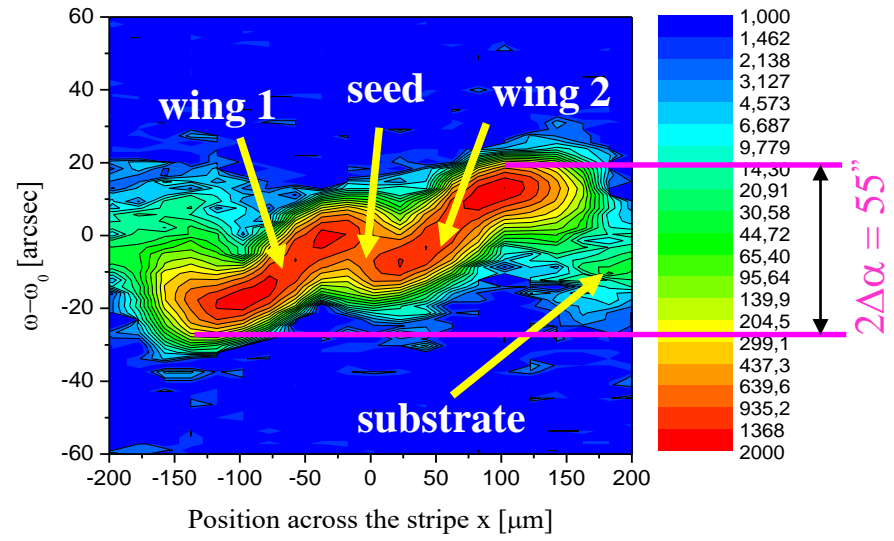
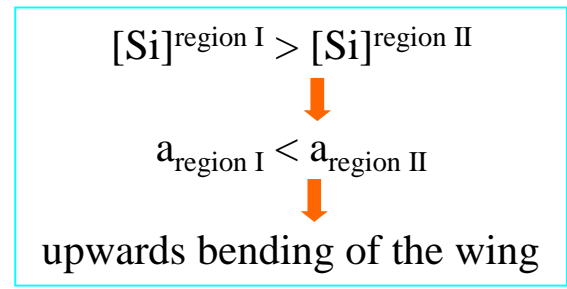
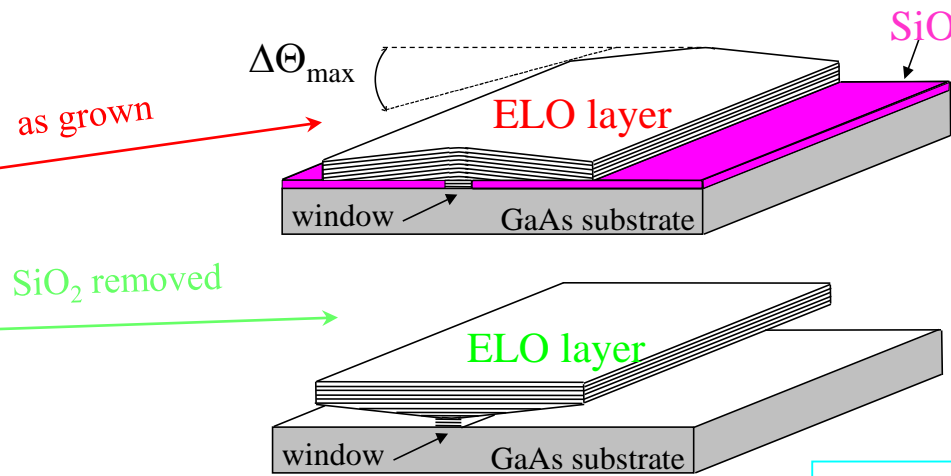
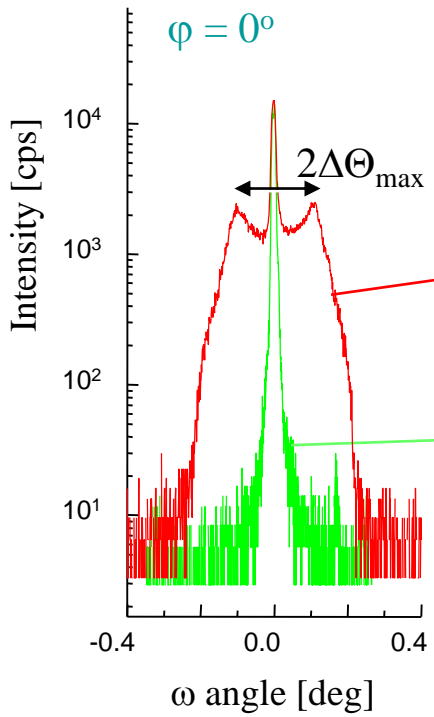


shape of (001) GaAs planes



# Bending of ELO layers

ELO GaAs on SiO<sub>2</sub>-coated GaAs

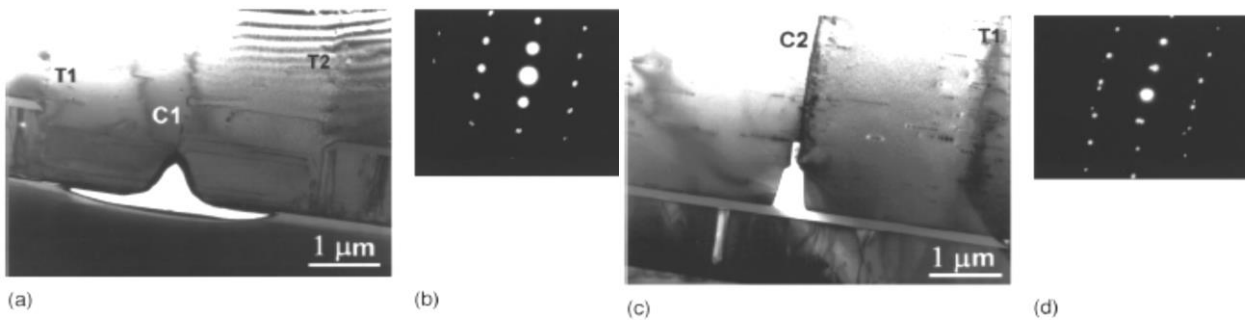
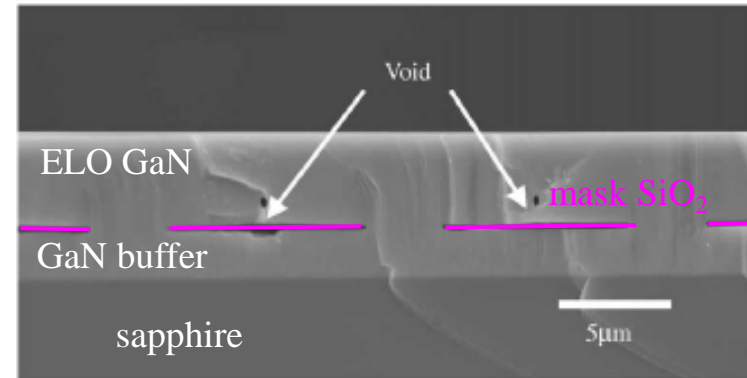
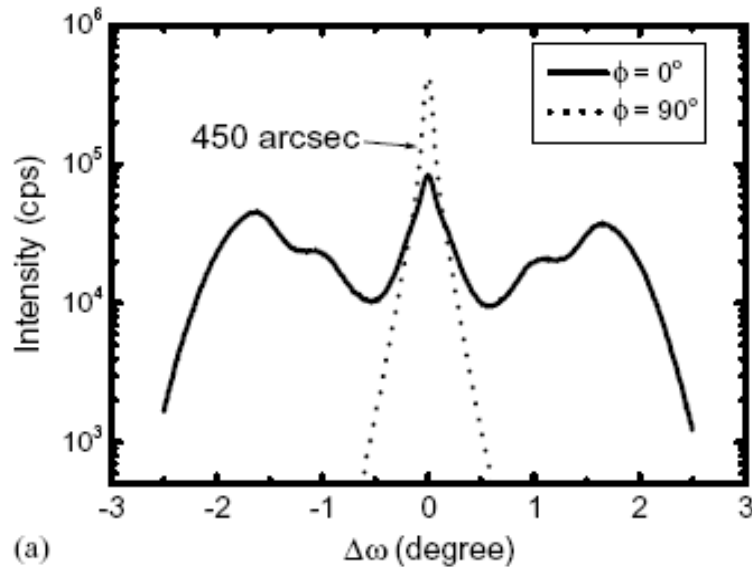


Residual bowing due to nonuniform doping



## ELO GaN on sapphire

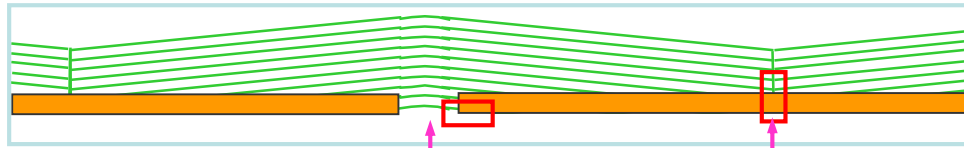
Kim et al. JCG 2002



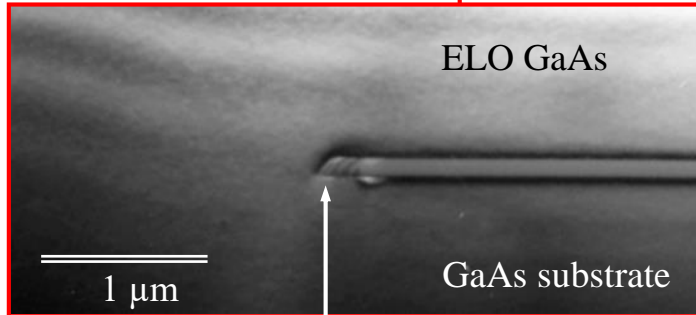
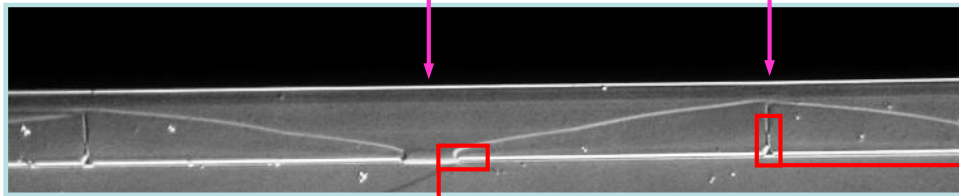
- tilt angle and tilt direction from electron diffraction in TEM
- synchrotron XRD

FIG. 2. TEM cross-section images and electron diffraction patterns taken from (a) and (b) window and (c) and (d) mask region.

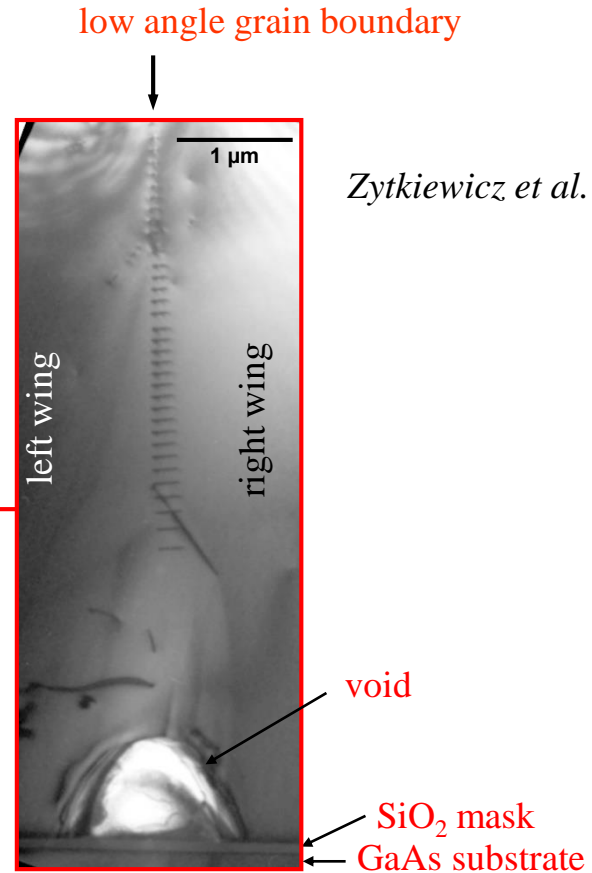
# Coalescence of ELO stripes



growth window      front of coalescence



no dislocations  
above the mask edge



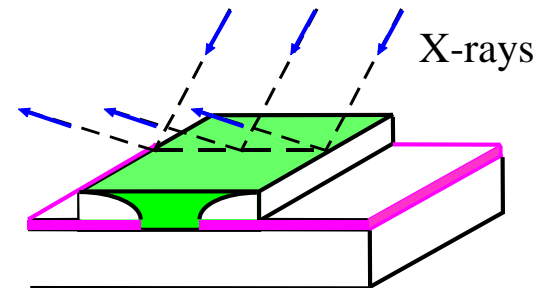
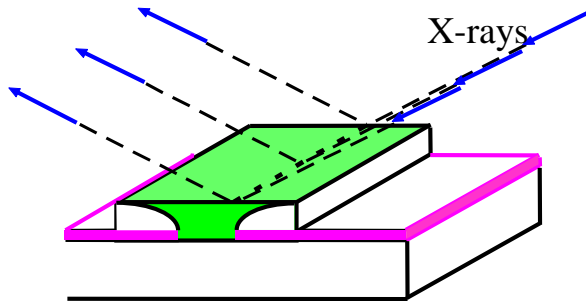
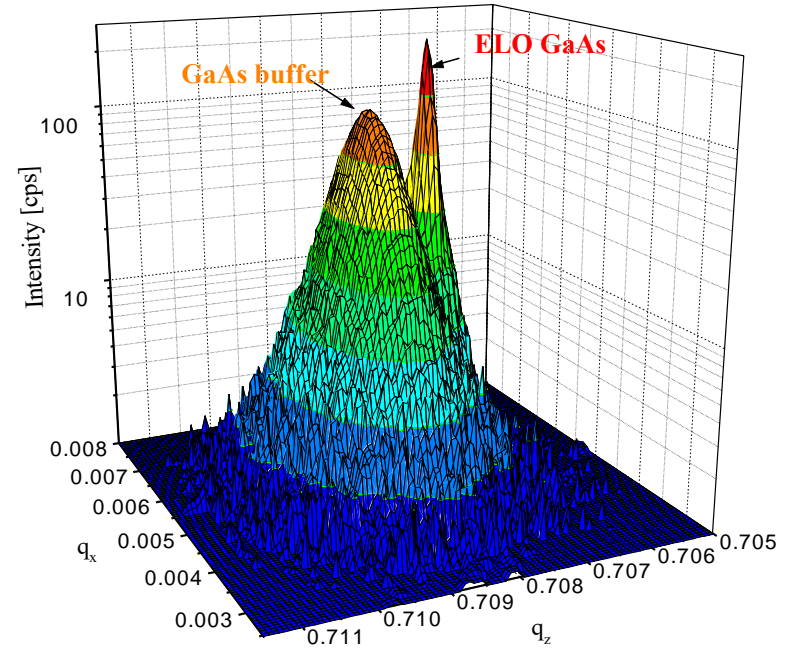
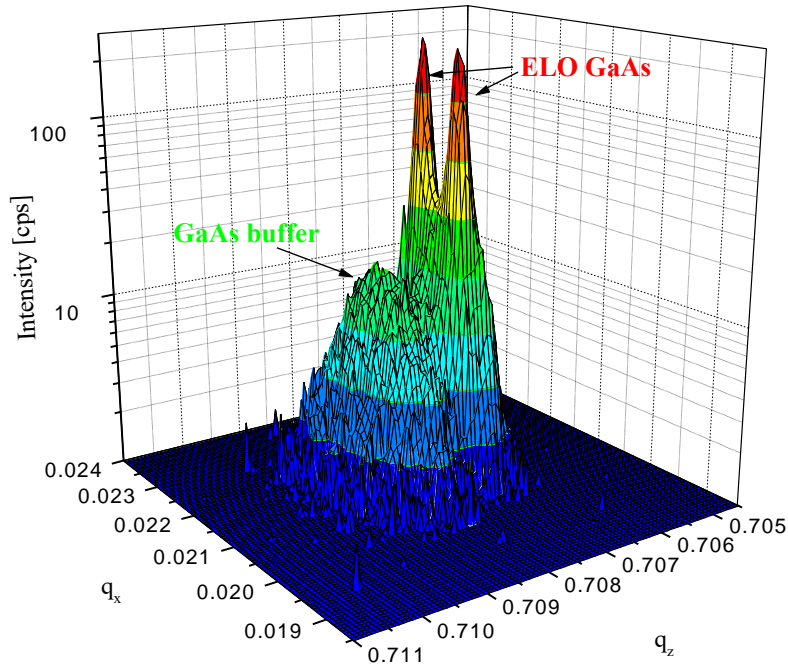
Zytkiewicz et al. JAP 2007

## Similar effect in:

- ELO Si on Si - Banhart et al. Appl. Phys. 1993
- ELO GaN on sapphire - Sakai et al. APL 1998
- PE GaN on sapphire - Chen et al. APL 1999

.....

# Thermal strain in ELO structures (GaAs/SiO<sub>2</sub>/GaAs/Si)



# Thermal strain in ELO structures (GaAs/SiO<sub>2</sub>/GaAs/Si)

Zytkiewicz et al. APL 1999

## Our model:

direction of tilt  $\longleftrightarrow$  sign of thermal strain in the buffer

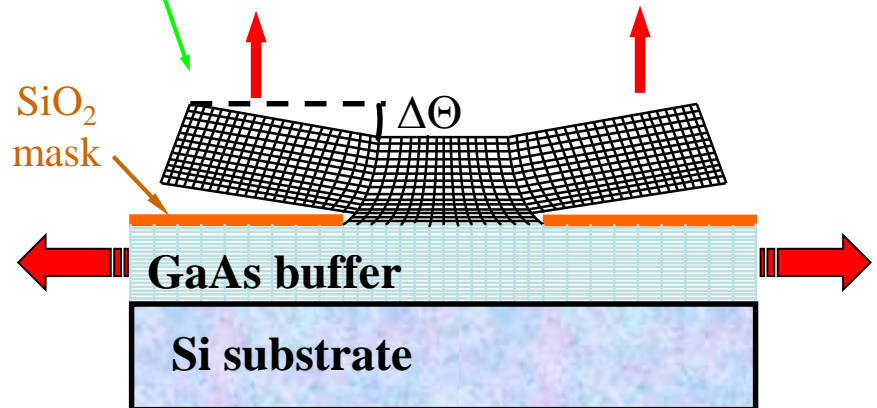
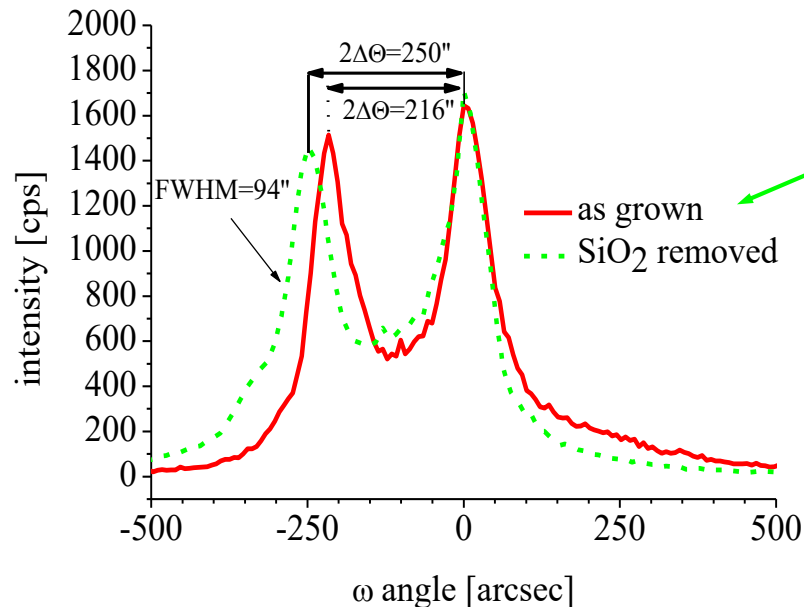
### ELO GaAs/Si:

- wings hanging over the SiO<sub>2</sub> mask (no mask-induced tilt)
- wings tilted upwards

<b>GaAs/Si</b>
$\alpha_{\text{GaAs}} > \alpha_{\text{Si}}$
tension in GaAs buffer
upwards tilt

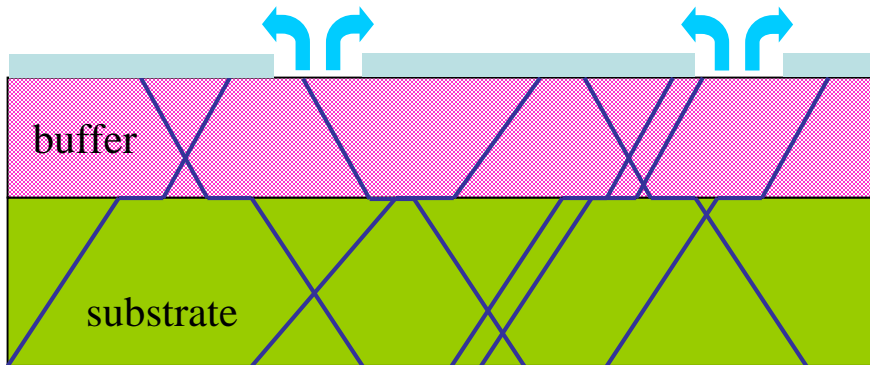
<b>GaN/sapphire</b>
$\alpha_{\text{GaN}} < \alpha_{\text{sapphire}}$
compression in GaN buffer
downwards tilt

*Fini et al. Appl. Phys. Lett. 2000*

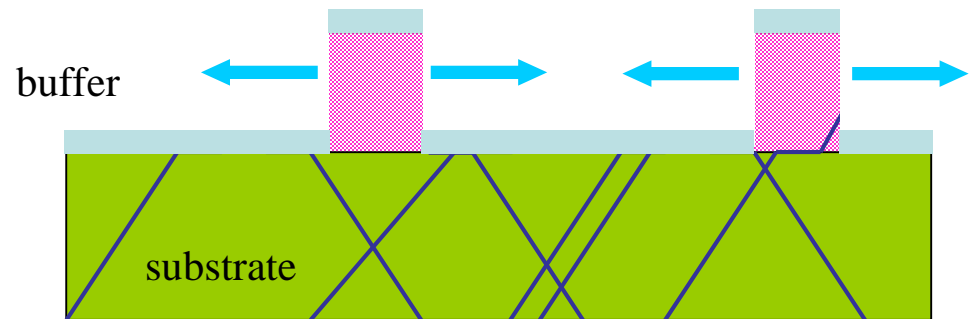


# Another ELO concepts (e.g. Pendeo-epitaxy)

## Epitaxial Lateral Overgrowth



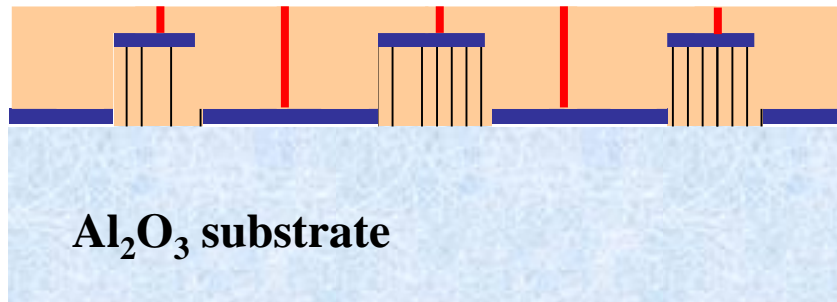
## New concept



# Pendeo-epitaxy

*pendeo* - “hanging on”  
 “suspending from”

™ Nitronex Corp., Raleigh,  
 North Caroline University

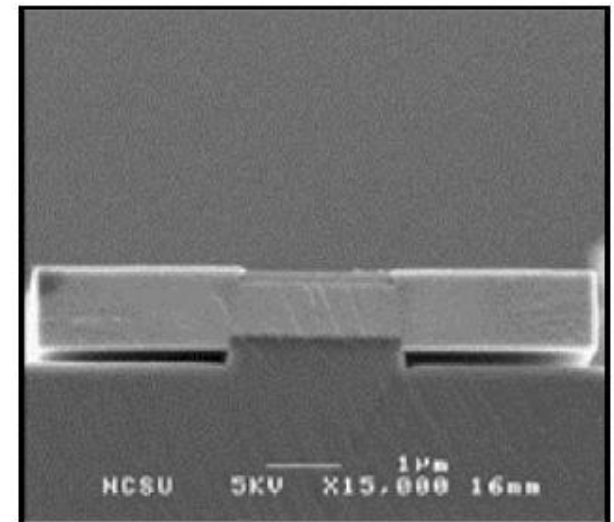


PE GaN

GaN buffer

mask

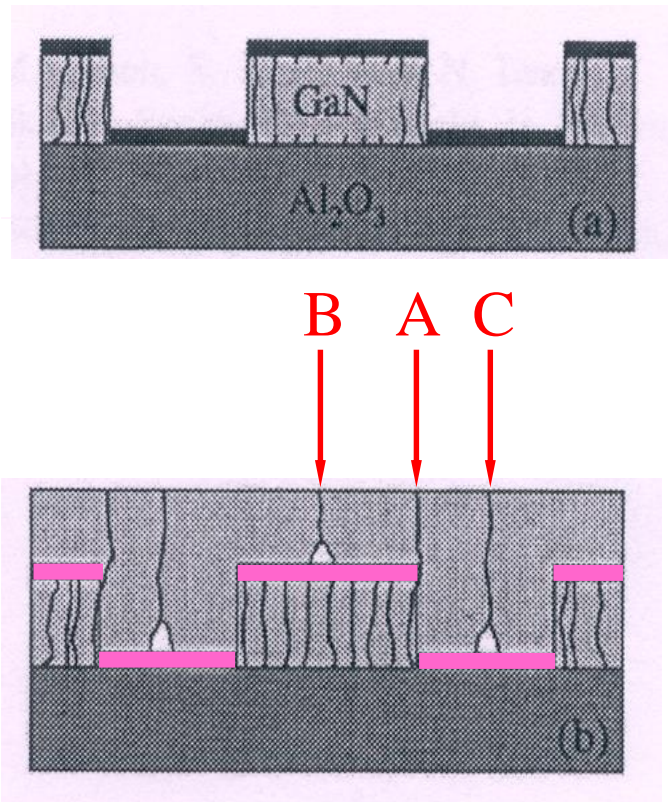
*Davis et al. JCG 2001*



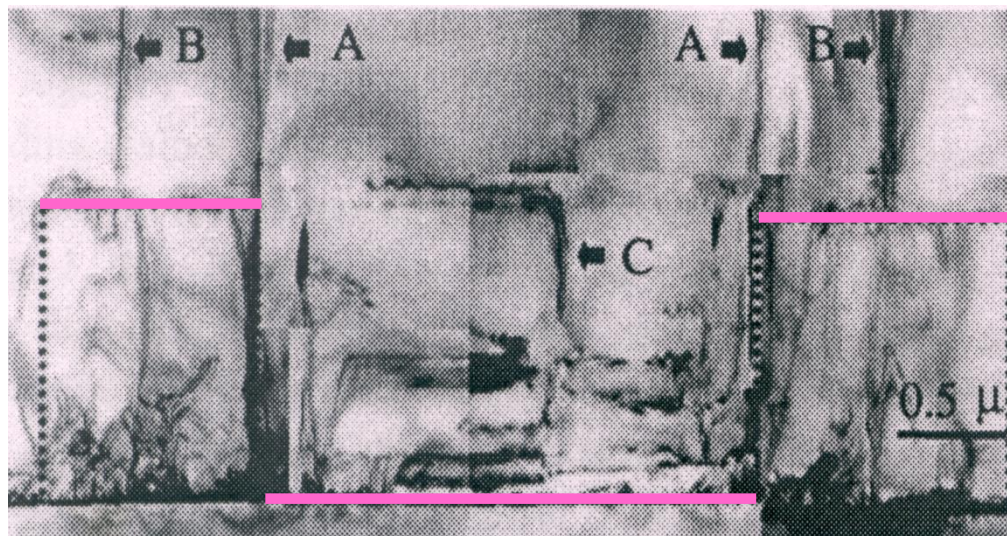
**PE vs. ELO: reduction of TD density over the whole wafer  
 within one PE process**

# Pendeo-epitaxy

*Chen et al. APL 1999*



## TEM

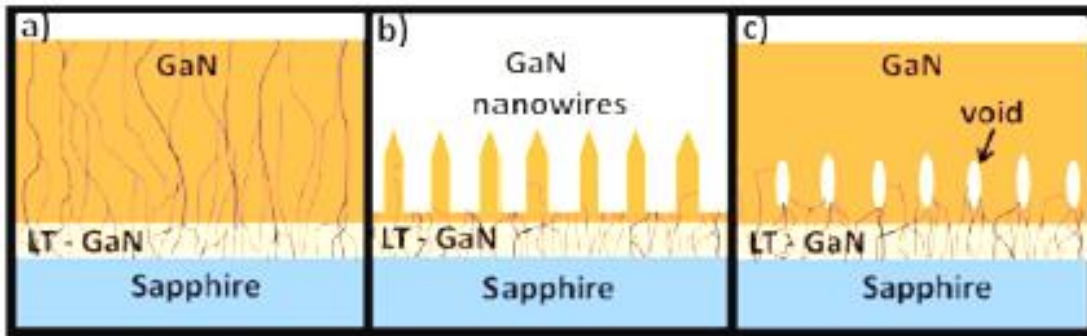


**Advantage: maskless versions of PE possible for GaN on SiC or SiC-coated Si**

*Strittmatter et al. APL 2001; Davis et al. JCG 2001*

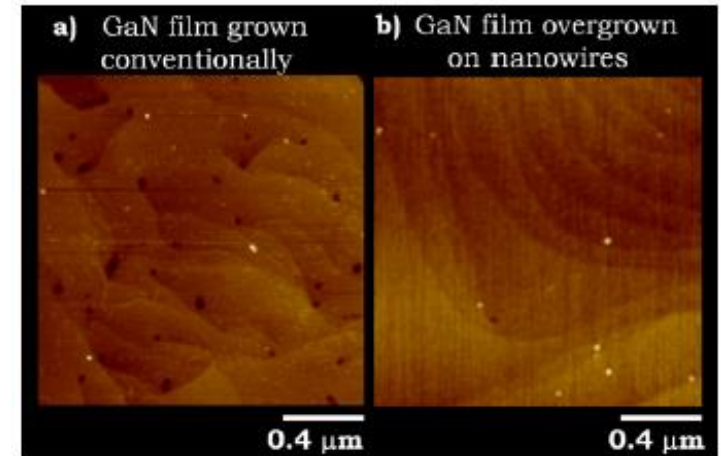
# EVA – Embedded Void Approach

Frajtag et al. APL 2011 98 023115

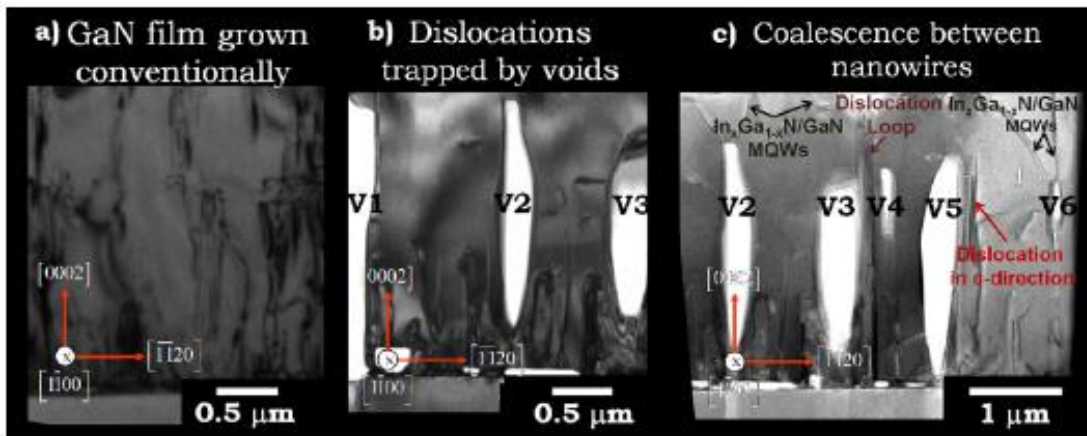


$2.1 \times 10^9 \text{ cm}^{-2}$

$3.9 \times 10^7 \text{ cm}^{-2}$

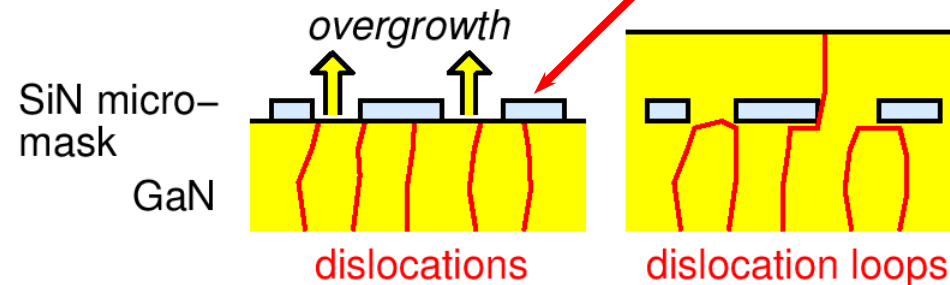


AFM



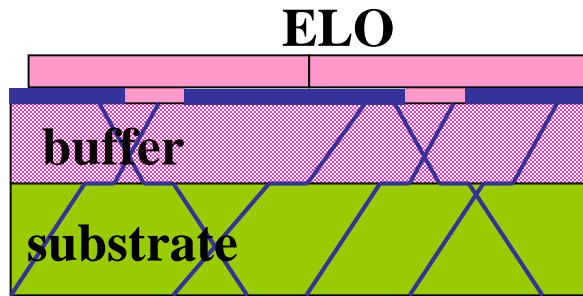
TEM

“random” mask (SiN coverage below 1 ML)



S. Tanaka et al., Jpn. J. Appl. Phys. **39**, L831 (2000)





a tool for fabrication of low-dislocation density epilayers on heavily dislocated substrates

**take from the seed info on crystal lattice; do not take defects!!!**

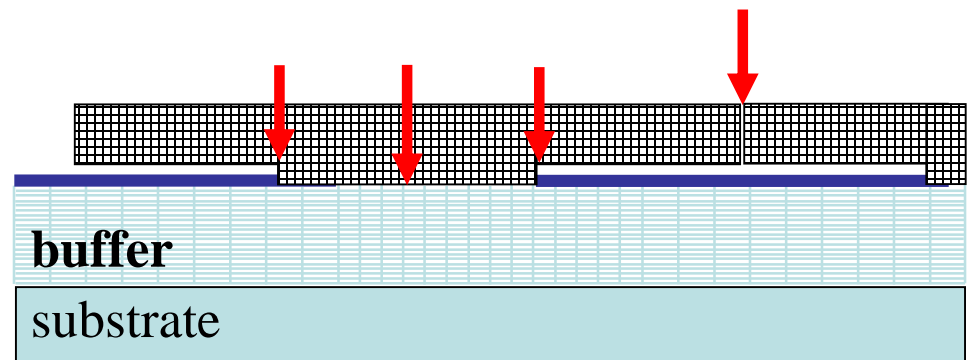
***ELO – all lattice mismatch-induced problems solved?***

## **Achievements:**

1. significant reduction of dislocation density in lattice-mismatched heterostructures
2. easier elastic relaxation of thermal strain

## **Problems:**

1. interaction of ELO layers with the mask; bending
2. generation of defects at the front of coalescence



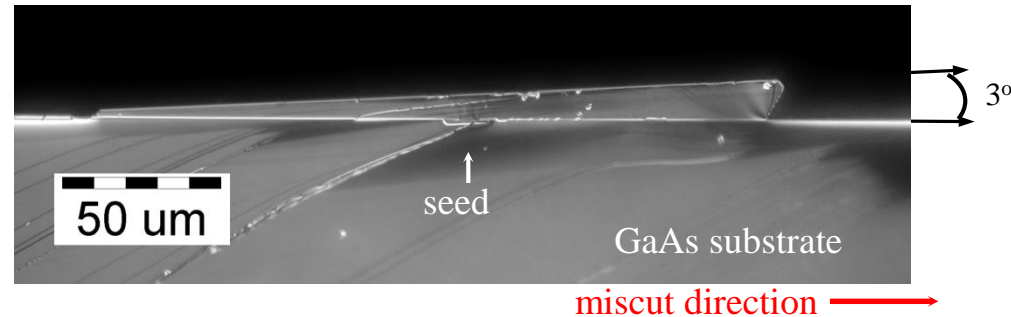
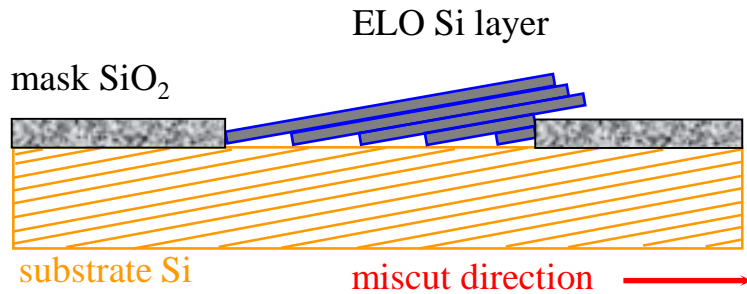
# Mechanism of ELO growth on dislocated substrates

comparison

ELO on dislocation-free substrate  
Si/Si

ELO on dislocated substrate  
GaAs/GaAs

*Zytkiewicz et al. Cryst. Res. Technol. 2005*



growth in the miscut direction only  
(for low supersaturation)

growth in all directions

on dislocated substrate ELO growth possible w/o substrate miscut  
(miscut used sometimes though; e.g GaAs/Si)

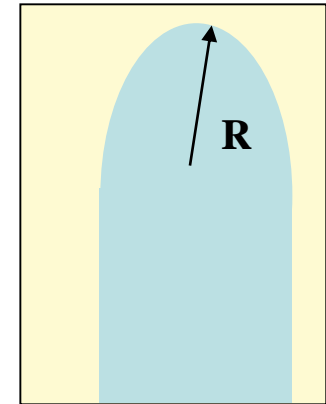
# Gibbs-Thomson effect $\Rightarrow$ S. Krukowski's lecture

*Gibbs – Thomson effect a – phase equilibrium on curved surface depends on radius of phase boundary*

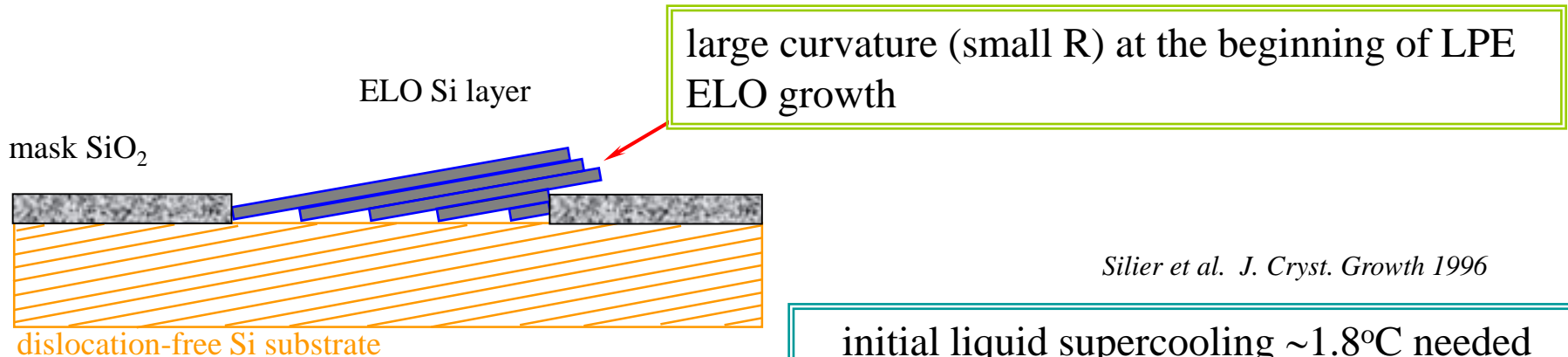
$\Gamma$  - capillarity constant ( $\sim 10^{-7}$  cm = 1 nm)

$$p(R) = p(\infty) \cdot \left(1 + \frac{\Gamma}{R}\right)$$

$$C(R) = C(\infty) \cdot \left(1 + \frac{\Gamma}{R}\right)$$



equilibrium pressure (solute concentration) on curved surface is larger than on the planar one

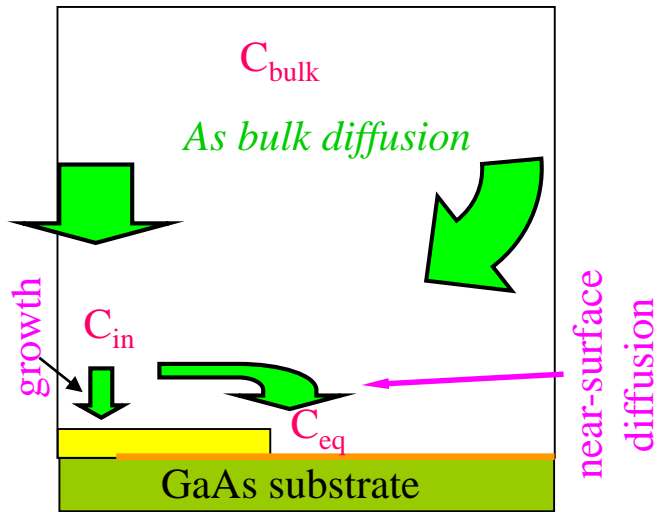


*Silier et al. J. Cryst. Growth 1996*

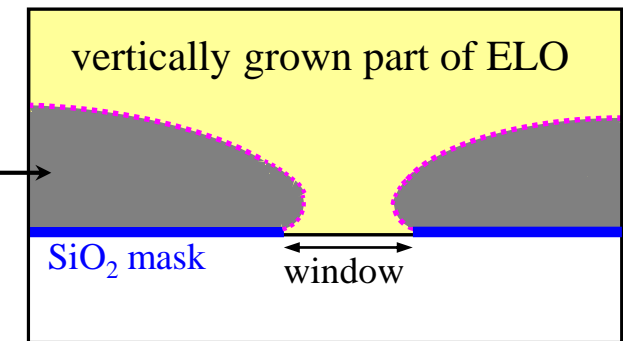
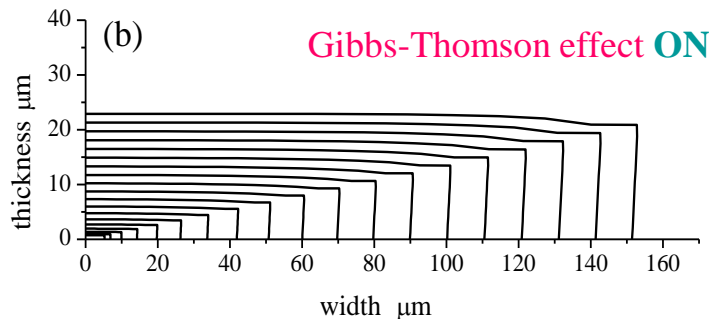
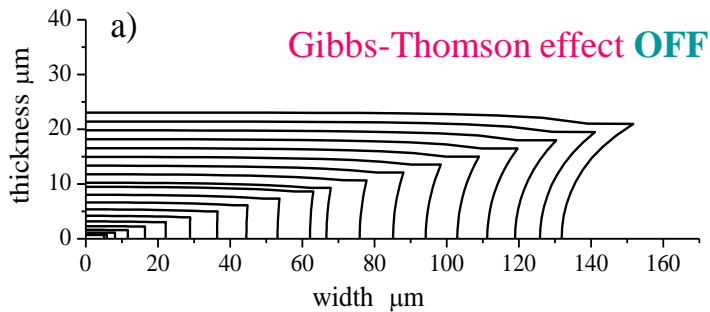
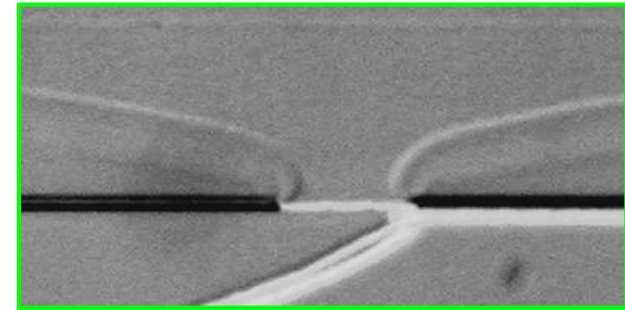
initial liquid supercooling  $\sim 1.8^\circ\text{C}$  needed to allow the ELO layer to get out of the channel over the mask

# Gibbs-Thomson effect $\Rightarrow$ S. Krukowski's lecture

simulations: ELO of GaAs by LPE



Gibbs-Thomson effect:  
ELO of GaAs by LPE



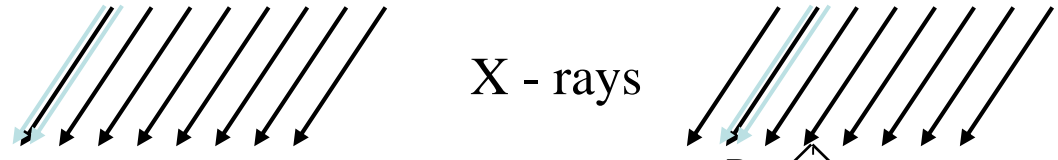
laterally grown part of ELO

SiO<sub>2</sub> mask

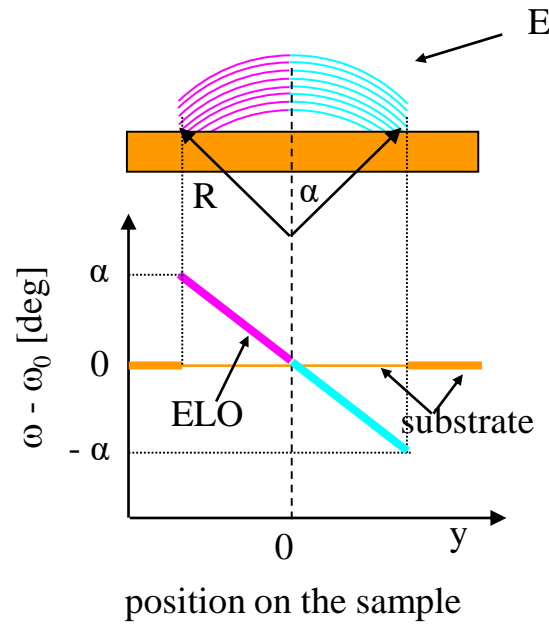
window

substrate dislocations make ELO growth possible without initial supersaturation of the solution

# Lokalna XRD - przykład

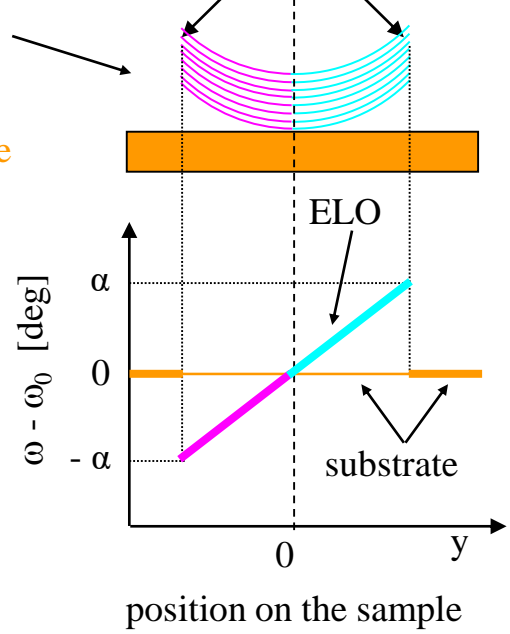
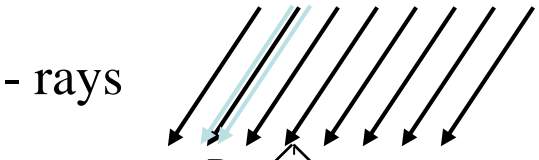


X - rays



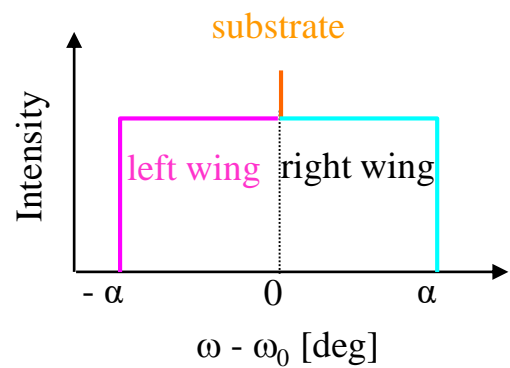
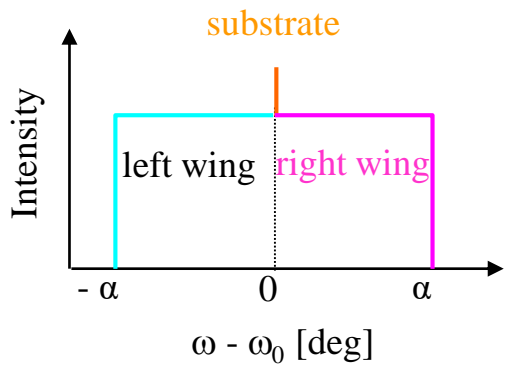
ELO layer

substrate



## SRXRD mapping:

- tilt angle  $\alpha(y)$  can be measured
- tilt direction easy to determine
- curvature radius  $R(y)$  can be measured locally
- shape of lattice planes can be analyzed  $\alpha(y) \sim h'(y)$
- width of ELO can be measured



## Standard Rocking Curve:

- tilt angle  $\alpha$  can be measured
- tilt direction cannot be determined